

Learning on the Blink: Novel physiological predictors of adaptive learning in horses.

Dr Louise Evans

University of Bristol, UK



Nottingham Trent
University



Welcome



Nottingham Trent
University

- Dr Louise Evans - Research Technician and Research Associate in Animal Welfare at the University of Bristol, UK
- Completed PhD in 2024 from Nottingham Trent University, UK on Equine Cognition, Physiology and Welfare
- Research interests: animal cognition, affective states, physiology and welfare
- Current projects:
 - Individual differences in affective processing and implications for animal welfare
 - The use of AI to monitor decision-making and affective state in animals



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The Team

- Dr Carrie Ijichi, University of Central Lancashire
- Dr Heather Cameron-Whytock, University of Central Lancashire
- Dr Annika Paukner, Nottingham Trent University



Whoa, No-Go!

Horses can plan ahead and think strategically, scientists find

Donna Ferguson

The proverb “you can lead a horse to water but you can’t make it drink” has been used since the 16th century to describe the difficulty of getting someone to act in their own best interests. Now research by equine scientists suggests this phrase has been inadvertently maligning horses.

Horses have the ability to think and plan ahead and are far more intelligent than scientists previously thought, according to a Nottingham Trent University study. The horses cannily adapted their approach to a rewards-based game to get the most treats while making the least effort.

“Our study shows that horses have an awareness of the consequences and outcomes of their actions,” said the lead researcher, Louise Evans.

The three-stage game involved 20 horses which were initially rewarded with a treat for touching a piece of



“When there was a timeout something wrong, the paying attention,” said Evans. Behaviour is very goal directed horses focusing on what the achieve and the steps needed.

Evans hopes the study, published in the journal *Applied Behaviour Science*, will improve welfare for horses. We think animals have better cognitive abilities, their welfare improves. We’ve shown you don’t need to use harsh methods to get a good performance out of horses.”

From Grand National to grandmasters? Horses think strategically

Will Humphries

They can run, jump and—as Olympics viewers know—dance. But horses are even smarter than previously thought, having shown the capacity to plan ahead during games of strategy.

Researchers found that when denied treats for not following the rules of a complex reward-based game, horses were able to adjust quickly to get more rewards.

Scientists said this shows the animals have the ability to think and plan ahead, something previously considered beyond their capacity.

Knowing how horses learn can help carers to train them more humanely and improve their welfare, the team from Nottingham Trent University said. Dr Carrie Ijichi, a senior lecturer

not require much attention.

Louise Evans, a PhD candidate at the university’s school of animal, rural and environmental sciences, said: “We were expecting horses’ performance to improve when we introduced the time-out, but were surprised by how immediate and significant the improvement was.

“Animals usually need several repetitions of a task to gradually acquire new knowledge, whereas our horses immediately improved when we introduced a cost for errors. This suggests that the horses



Are horses as stupid as we

GEE-GEENIUSES

Clever horses pass tricky intelligence game at canter

By ADAM ASPINALL
HORSES are far more intelligent animals than previously thought, a study has revealed.

Research shows they did well when facing a complex reward-based game. Experts found that when denied treats for not following the rules of the game, the horses were able to instantly switch strategies to get more rewards. Twenty horses were set a three-stage task. In the first stage, the animals touched a piece of card with their nose in order to get a treat. In stage two things became more complicated when they were only allowed a snack if they touched the card while a light was off.

The horses kept blindly touching the card, regardless of whether the light was on or off, but rewarded when correct.

But, in the final stage of the game, a

said: “This shows they’re more cognitively advanced than we give them credit for.”

Dr Carrie Ijichi, a senior lecturer in equine science at NTU, said: “Horses are not natural geniuses, they are thought of as mediocre. But this study shows

knowing how horses learn can help carers train them more humanely and improve their welfare.

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Gilded...horses learned



horse sense



NAGS: Thinkers

Horses ‘clever beasts’

HORSES are much smarter than we may have suspected, scientists reckon.

Clever nags did better than expected in a complex reward-based game.

When denied treats for not following the rules, the horses were able to instantly switch strategies to get more rewards, researchers found.

It shows the animals have the ability to think and plan ahead – something previously considered beyond them.

Dr Carrie Ijichi, senior lecturer at Nottingham Trent University, said horses are “more cognitively advanced than we give them credit for”.



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Whoa, No-Go: Evidence consistent with model-based strategy use in horses during an inhibitory task

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ABSTRACT

It is thought horses lack the prospection and brain architecture required for goal-directed, reflective model-based learning which considers future states. We investigate whether horses can use model-based strategy in an inhibitory task. Twenty subjects were trained for three sessions of a Stop-Signal paradigm using positive reinforcement (+R) for correct responding. All twenty failed to reach learning criterion. Subjects continued to touch in “Stop” contingencies indicating horses either: cannot complete Stop-Signal tasks; need further consolidation; or are utilising model-based cost-benefit analysis. Subjects underwent a further three sessions with the addition of negative punishment (-R/P) as a cost for errors of emission (EE). If horses lack the ability to complete Stop-Signal tasks, EE would remain high across both treatments. If horses found Stop-Signal difficult but the introduction of cost aided their learning, EE would gradually decrease throughout the +R/P condition. If horses built a cognitive model of the task but developed a strategy of indiscriminate responding in the +R condition, EE would suddenly decrease with the introduction of cost. A significant, immediate reduction in EE was observed when cost was introduced ($p=0.02$) that remained stable throughout the +R/P condition providing evidence consistent with model-based cost-benefit analysis in horses.

1. Introduction

Instrumental learning can be acquired through both model-free and model-based learning. Model-free learning is a relatively simple computation based on accrued trial-and-error learning that forms habits. As such, changes in contingencies and environmental conditions can only be responded to slowly, as a new “cache” of trials must be built (Dayan and Berridge, 2014a). The accruing cache adjusts the reward prediction error which signals the value achieved by a given action to maximise future expected rewards (Sutton and Barto, 2018). This is achieved by comparing differences between rewards actually received and those expected, based on previous experience (Schultz, 2016). Simple tasks can be reliably learnt, given sufficient opportunities, by building associations between stimuli and their outcomes. For example, an animal may reflexively touch a target because they have been conditioned through repeated exposure to associate it with a positive outcome such as food. The target elicits an urge to make contact with it,

and no more complex processing of what the target signifies. Therefore, there is no need for higher order executive function. By contrast, a model-based strategy involves prospective cognition (Dayan and Berridge, 2014a) which refers to the ability to think about possible future states and understand the likely outcomes of various responses so that optimal responses can be selected. It therefore allows an individual to select the response most suitable to the current situation out of all potential options. The model refers to this cognitive “map” of possible outcomes and is built using the state prediction error (SPE) (Gläscher et al., 2010). SPE registers violated expectancies by comparing discrepancies between the current cognitive model (cognitive map) and the current observed state (reality) (Gläscher et al., 2010). Whilst model-based is goal-directed and reflective, model-free cognition is habitual and reflexive. The former is considered more computationally demanding than the latter which is counterbalanced by its value in promoting flexible adaptive responses in dynamic situations (Huang et al., 2020a). Inhibitory control is the ability to actively ignore

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There’s no flies on horses, brain study

Creatures are far smarter than we give them credit for and even use strategic thinking to plan ahead

By Michael Seales
HEALTH CORRESPONDENT

HORSES are actually strategic thinkers able to think and plan ahead – a trait hitherto thought beyond their capacity, according to research.

They can excel in a complex reward-based game, quickly changing tactics to fit new rules and maximise the outcome, an experiment by scientists from Nottingham Trent University (NTU) has concluded.

Dr Carrie Ijichi, a senior lecturer in equine science at NTU, said: “Horses are not natural geniuses – they are thought of as mediocre – but this study shows they’re not average and are, in fact, more cognitively advanced than we give them credit for.”

The experiment involved giving 20 horses a task with three stages, the first of which was to touch a piece of card with their nose in order to get a treat. This was then made more difficult with the introduction of a light, with the

tion in order to win. It was not that they did not understand the rules during the second stage, but they continued to be rewarded without giving the game too much of their attention, the scientists hypothesised. Louise Evans, a PhD candidate based in NTU’s school of animal, rural and environmental sciences, said: “We were expecting horses’ performance to improve when we introduced the time-out, but were surprised by how immediate and significant the improvement was.

“Animals usually need several repetitions of a task to gradually acquire new knowledge, whereas our horses immediately improved when we introduced a cost for errors. This suggests that the horses knew all along what the rules of the game were.”

The study found that horses have the ability to form an internal model of the world around them to make decisions and predictions, a skill known as model-based learning.

“It suggests that the horses knew all along what the rules of the game were”
“We should not make assumptions about animal intelligence based on whether they are built like us”

ANIMALS

Straight from the horse’s mouth they can think and plan a

By Nilima Marshall

Horses are much smarter than previously thought, scientists have said.

the rules – only making a move at the right time in order to receive their treat.

Inhibitory control

- Inhibitory Control = a core executive function - controlling automatic behavioural urges.
- In humans, it is thought of as our ability to think before we react- pausing, and using attention and reasoning to respond appropriately
- A relatively difficult task, but we rely on the horse's ability to do this





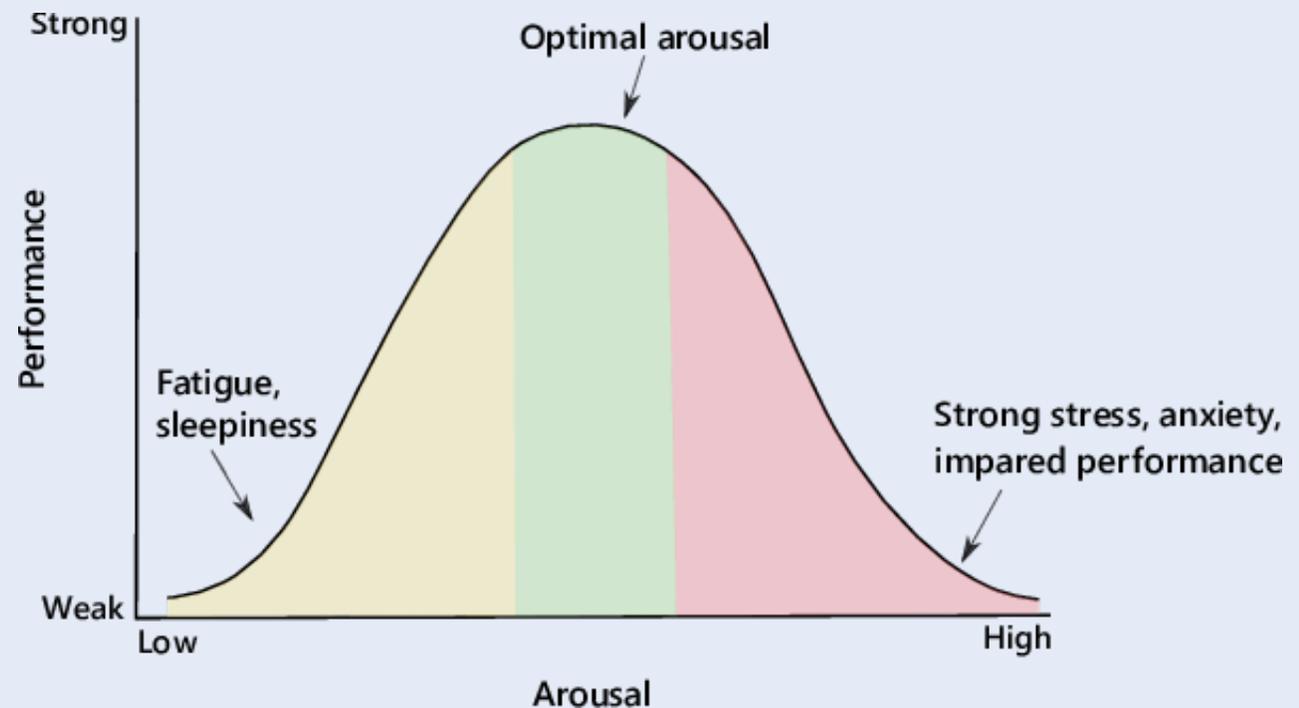
Consistent, reliable responses, even in challenging situations



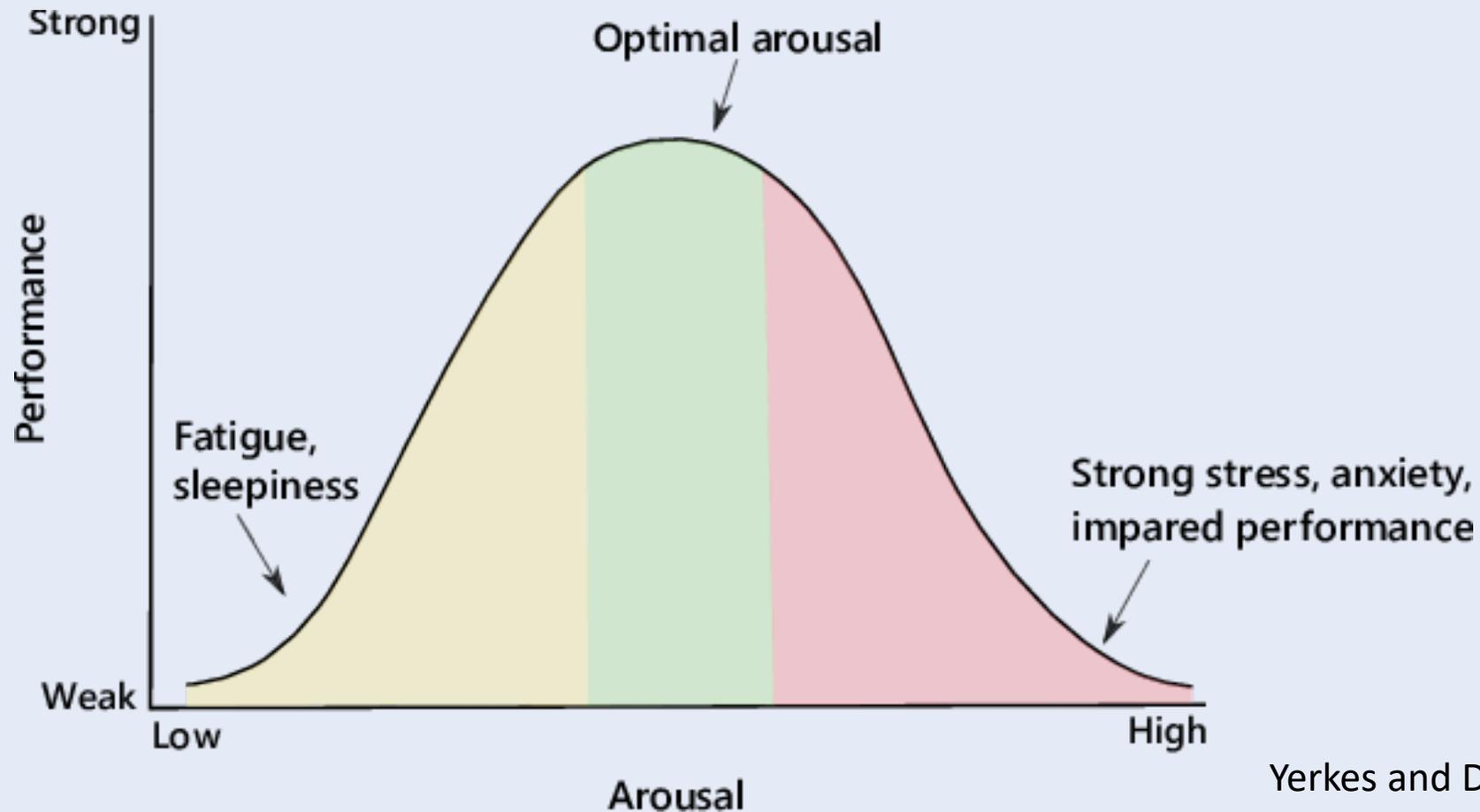
How does physiological arousal (e.g. stress) affect a horse's ability to do this?

Arousal and learning

- Arousal can have a positive or negative effect on learning.
- Too much arousal = too excited/stressed/frightened/frustrated to learn
- Too little arousal = too relaxed/bored/drowsy/unmotivated to learn

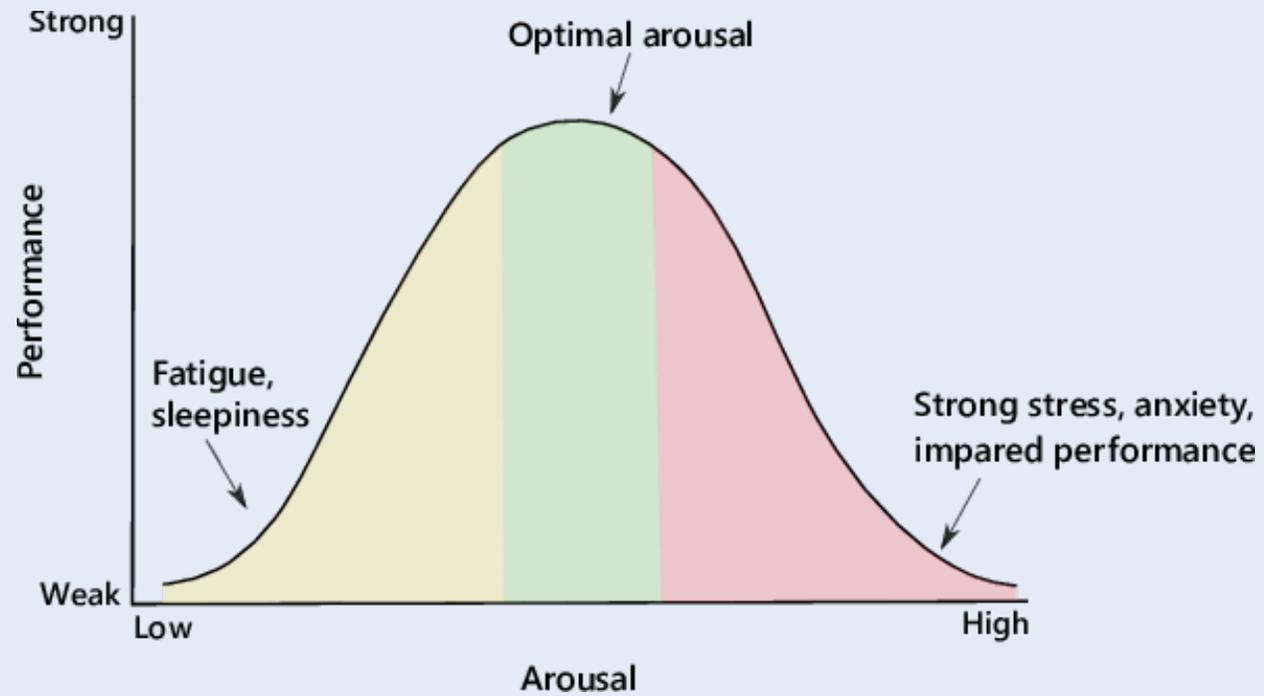


Arousal and learning



Yerkes and Dodson (1908)

Arousal and learning



Stop-Signal Task

- Stop-Signal cognitive task to test inhibitory control
- A conditioned response is rewarded unless a specified 'Stop' signal is given
- Can the animal inhibit (stop performing) the conditioned action when the Stop Signal is given?



Stop-Signal Task for Horses

- 20 Horses from Nottingham Trent University
- Mixed breeds, sex (13 male, 7 female), age (11–22 years, mean=16 ± 3) and backgrounds
- Horses were managed in social housing, as per their usual routines. Horses were turned out to pasture overnight and stabled during the day
- Horses had ad libitum access to forage and water. Concentrate feed was given according to age and workload.



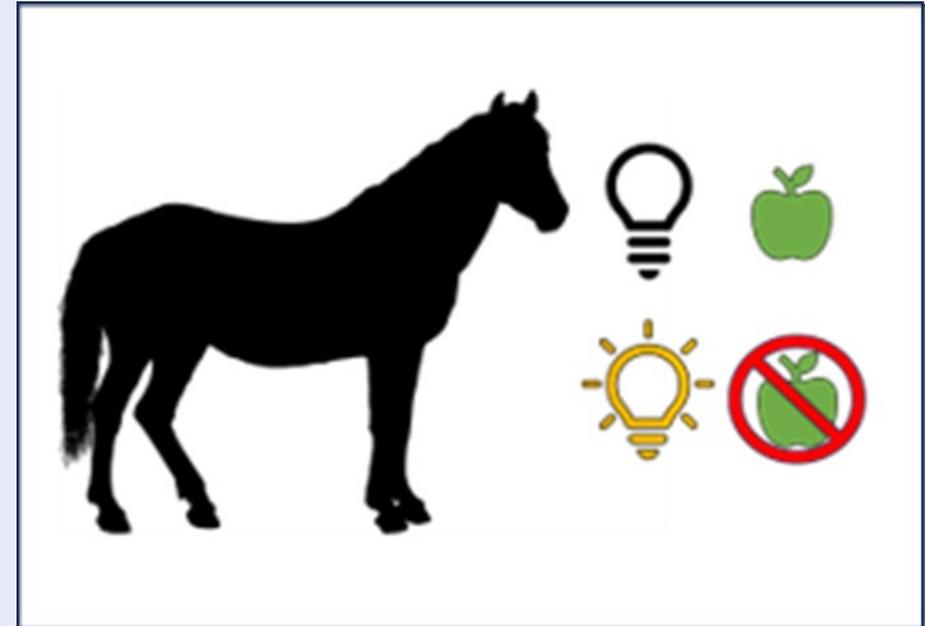
Nottingham Trent
University



Stop-Signal Task for Horses

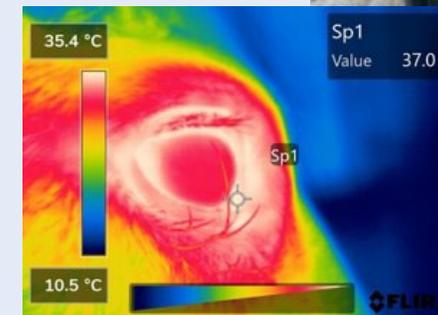
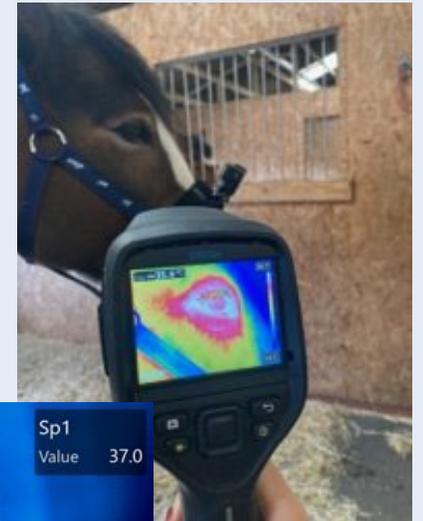
1. Horses were conditioned to touch a target (A3 laminated black or white target, pseudo-randomised and counterbalanced across sample) for a food reward
2. A **Stop** signal was introduced (a cyclists' headlight). Light OFF = Go. Light ON = Stop.
3. A trial started when the target was presented.
4. 28 Go trials (Light OFF) and 12 Stop trials (Light ON) per session, in randomised order.

Light OFF = Go
Light ON = Stop



Physiological arousal

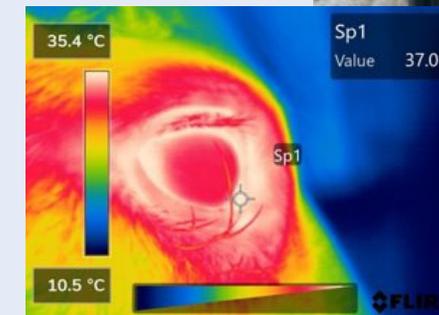
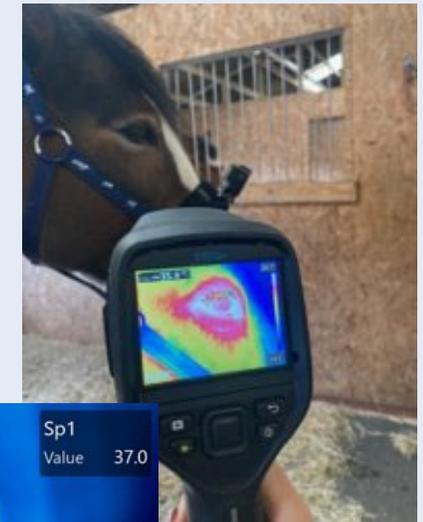
- How does physiological arousal (e.g. stress) affect a horse's inhibitory control performance?
- Physiological measures:
 - Heart rate variability (arousal, 'stress')
 - Infrared thermography of eye temperature (arousal, valence)
 - Spontaneous eye blink rate (attention, striatal dopamine activity)
 - Blink rate variability (pattern of blinking associated with learning?)



Predictors of performance

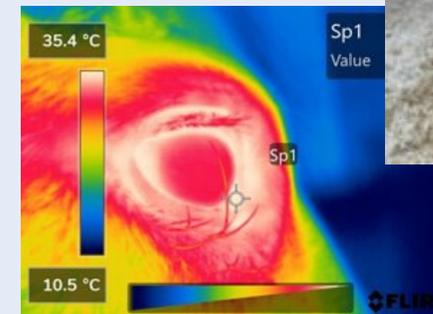
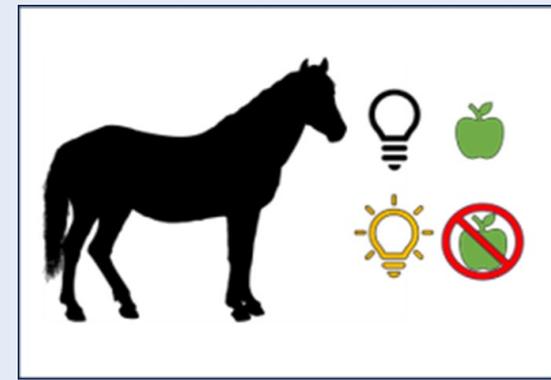
Is arousal/stress associated with inhibitory control ability in horses?

i.e. are there certain physiological predictors of performance?

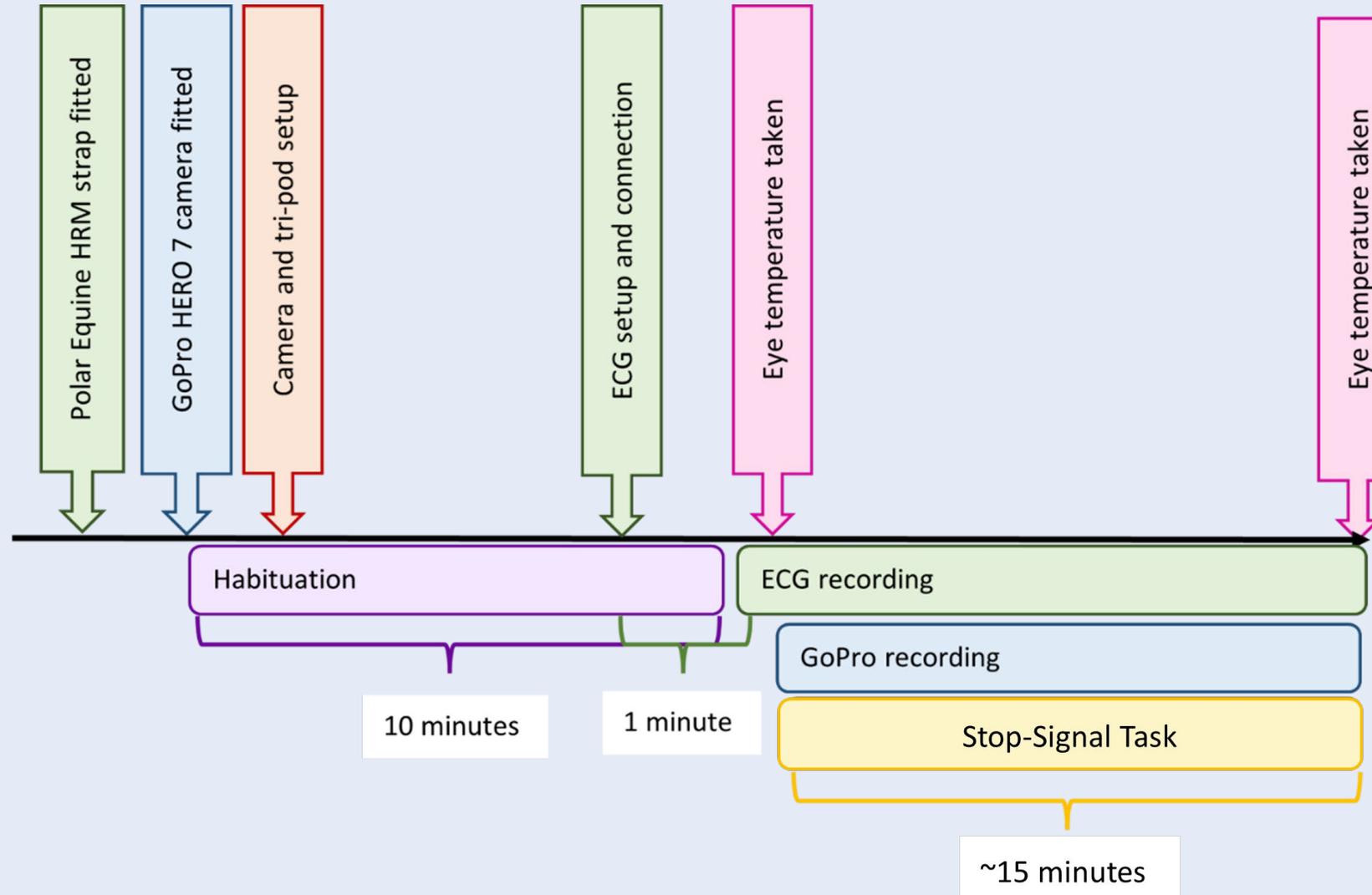


The Experiment

- 20 horses
- Baseline session (undisturbed in stable for 30 mins)
- 3 sessions of Stop-Signal task
- ECG continuously collecting heart rate data
- Eye temperature taken immediately before and after each session
- Blink rate measured continuously

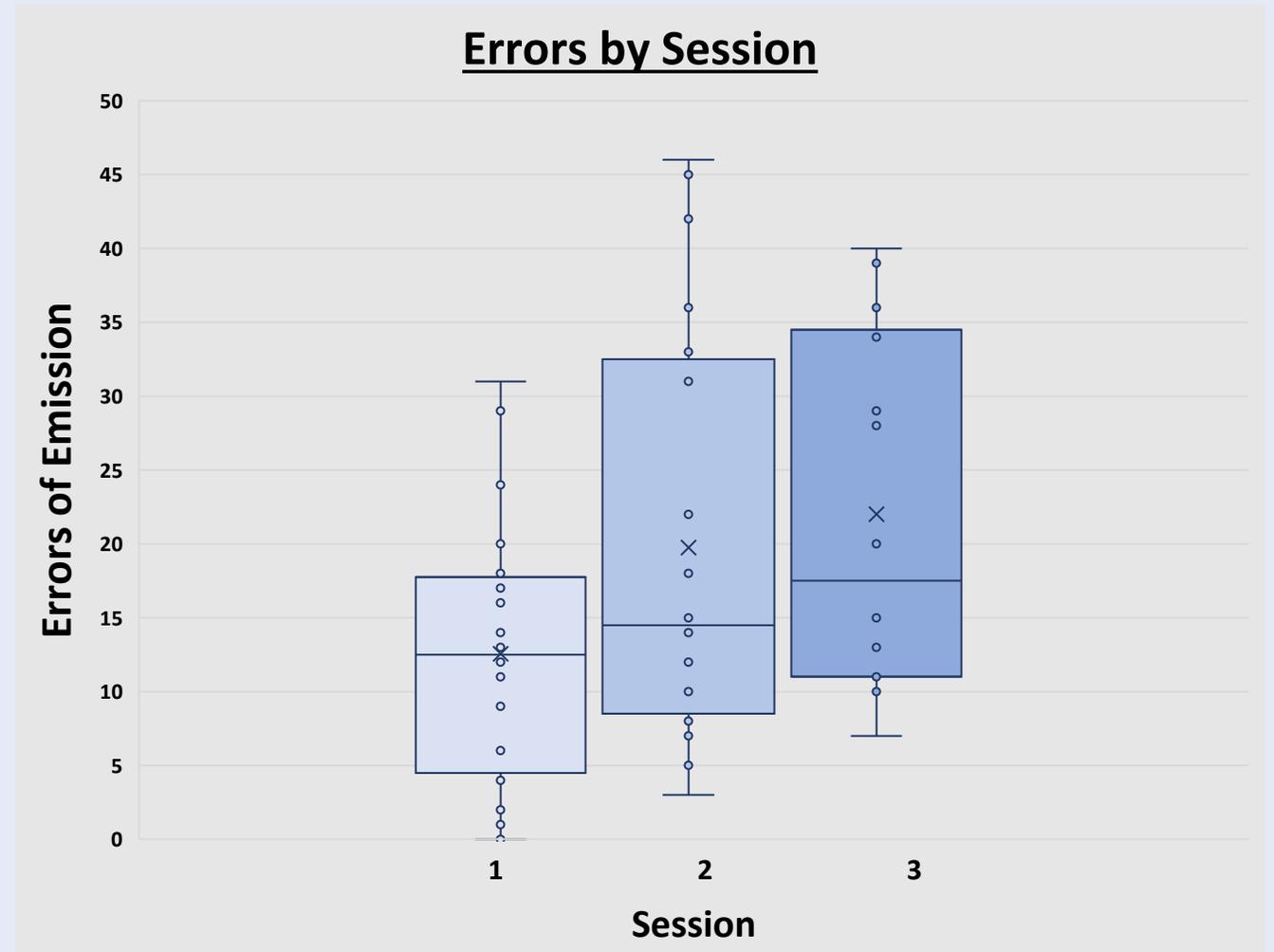


Data collection timeline



Unfortunately, things didn't go to plan...

- Errors of emission = touching target when the light was on (Stop trials)
- Horses were not waiting for the light to switch off before touching the target
- This seemed to be getting worse each session
- **Why?**



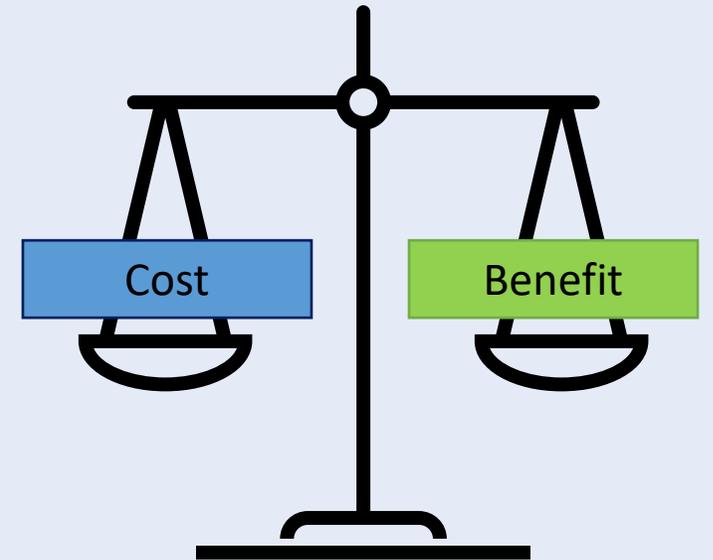
Possible explanations

1. The task is too difficult for horses
2. Horses can do this task, but they need additional help/
more sessions to learn
3. Horses can do this task, but they are not motivated to
do so because there is **no cost for making errors**



An opportunistic study

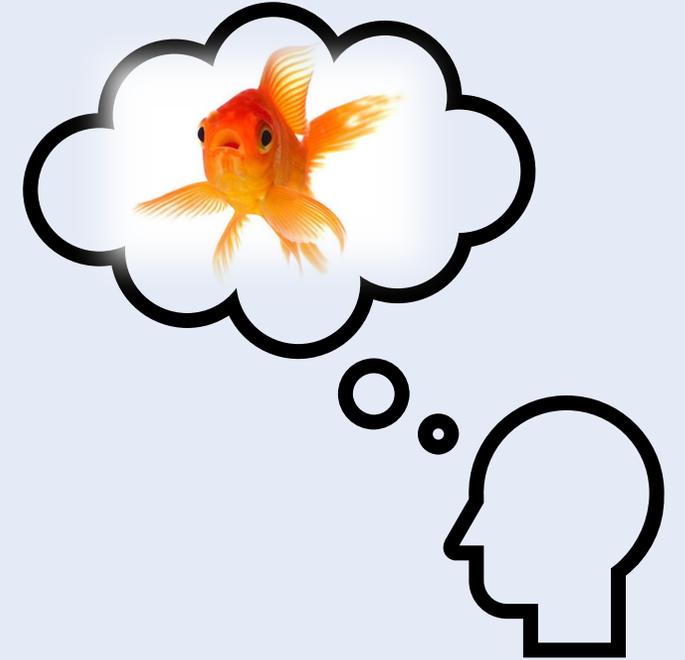
- Three more sessions, with the addition of **cost for making errors**
- Error of emission (touching target during Stop trials) = 10 seconds time-out
- In time-out, the target was removed and the game paused
- Cost for making errors is loss of opportunity for reward (Negative punishment)



Predicted responses

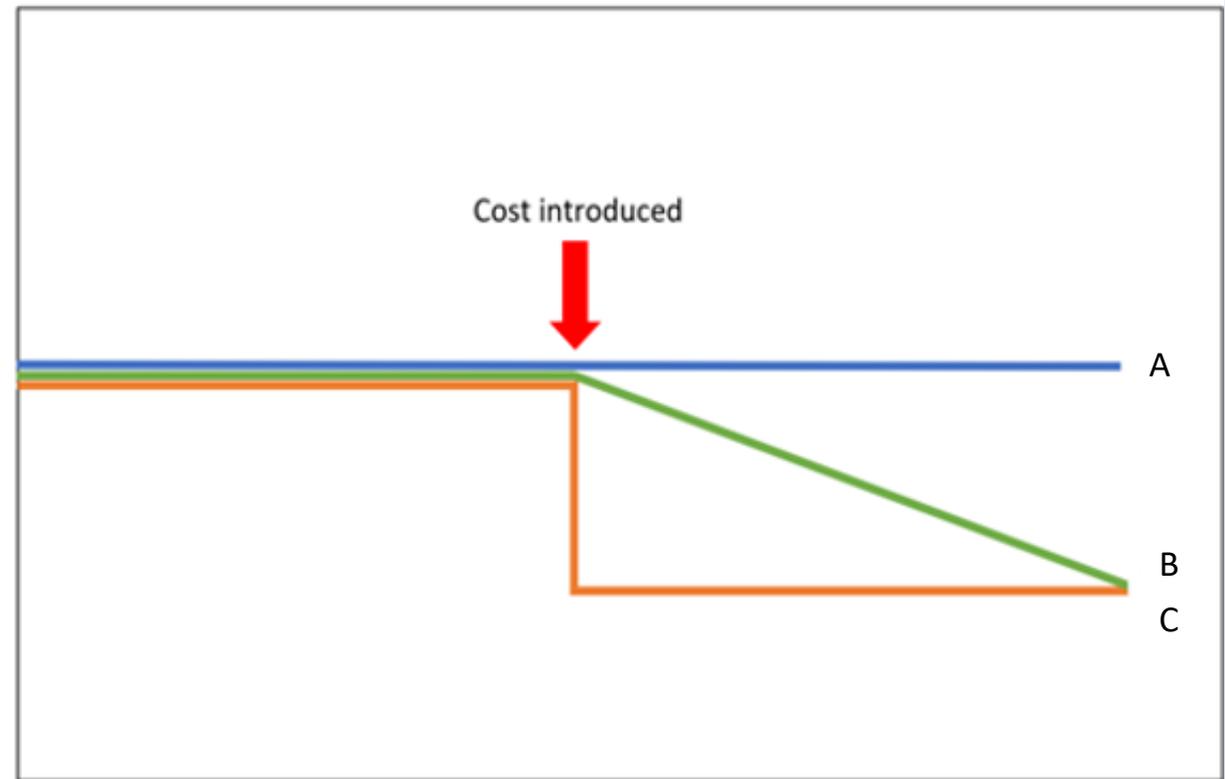
What would we expect to see, if:

1. The task is too difficult for horses
2. Horses can do this task, but they need additional help/
more sessions to learn
3. Horses can do this task, but they are not motivated to
do so because there is **no cost for making errors**



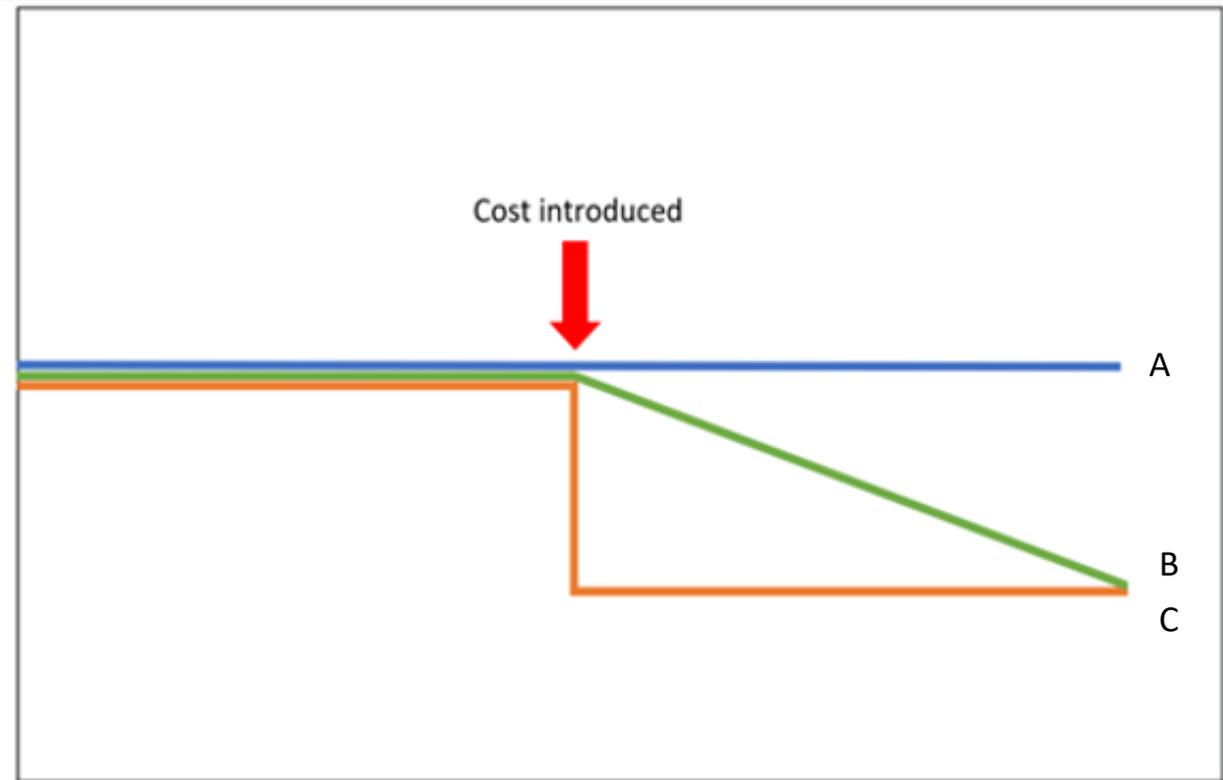
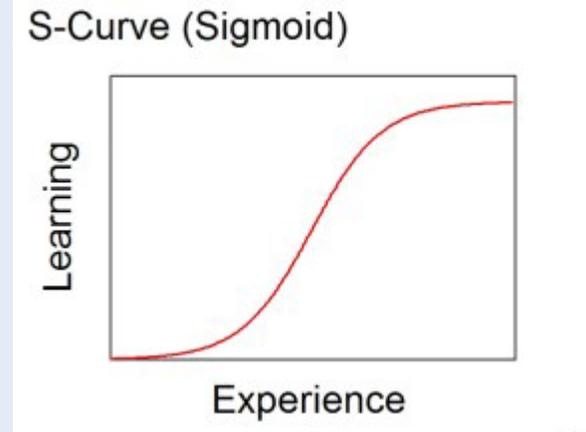
Prediction A (blue line)

- The Stop-Signal task is too difficult for horses, because they do not have good inhibitory control, or because the task itself was not well-designed
- Errors would remain high even after the introduction of time-out cost



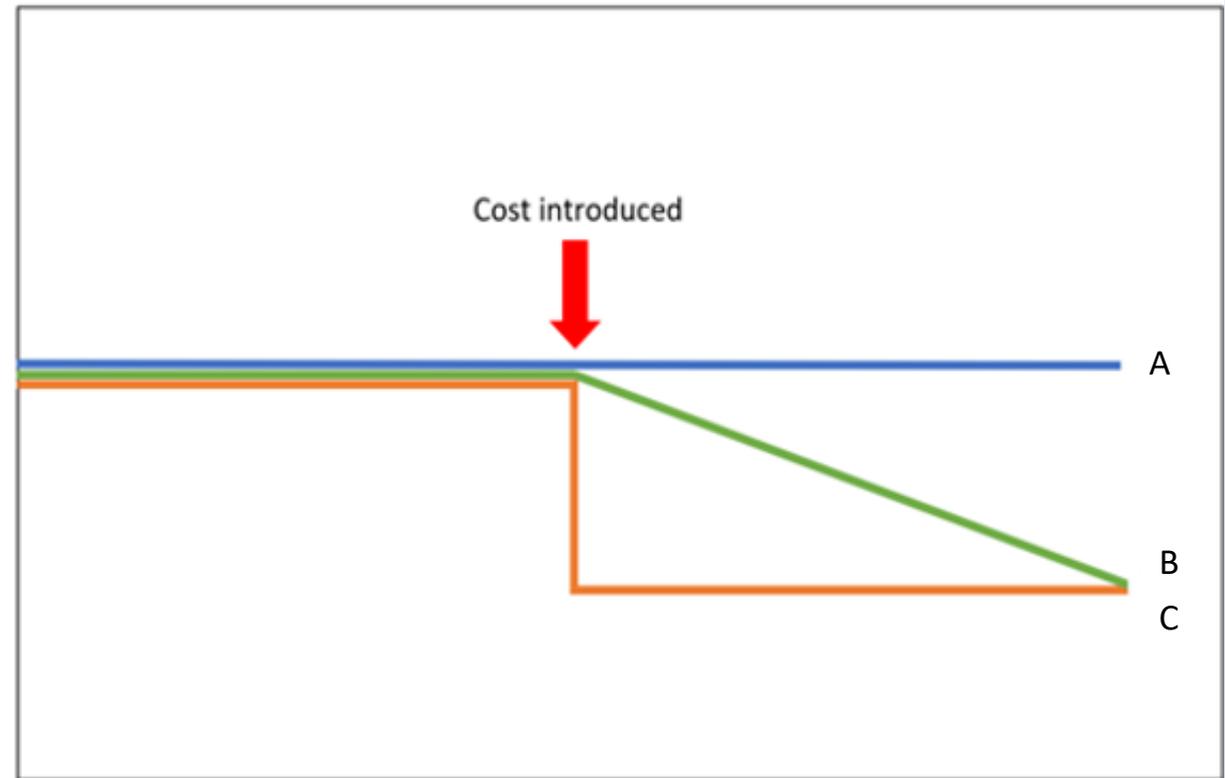
Prediction B (green line)

- The Stop-Signal task is challenging, but the extra sessions and/or addition of cost helps horses learn
- Errors would gradually decrease over the course of sessions 4, 5 and 6 as experiences are cached and understanding improves



Prediction C (orange line)

- Horses understand the Stop-Signal task, but (consciously or unconsciously) choose a strategy of indiscriminate responding when there is no cost for errors
- Errors decrease immediately and significantly when cost is introduced





https://www.youtube.com/watch?v=-02_60uHbco



"GO" signal.
Horse is rewarded (+R) for touching target

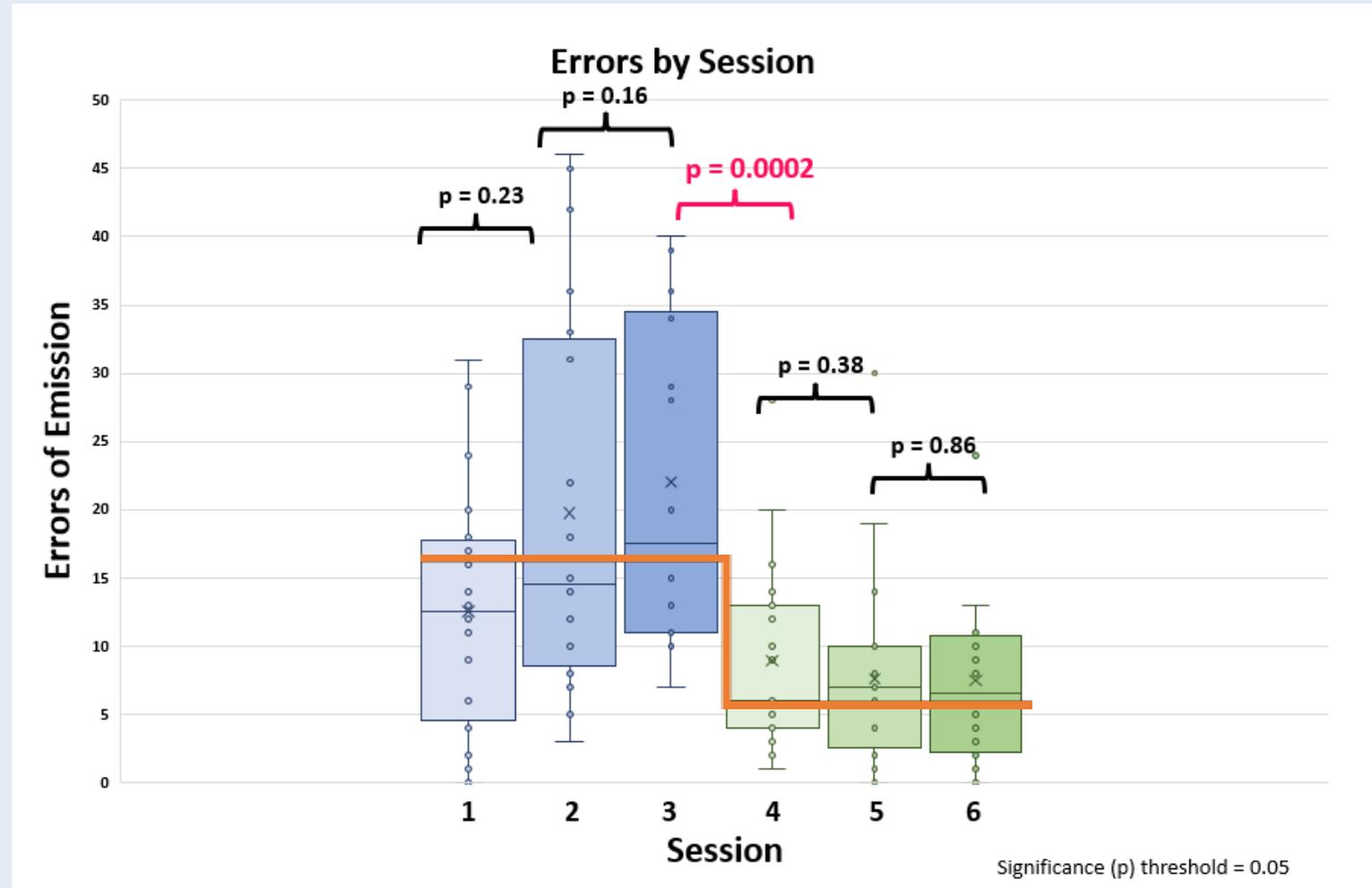


"Stop" signal.
Horse is punished (-P) for touching target

Results

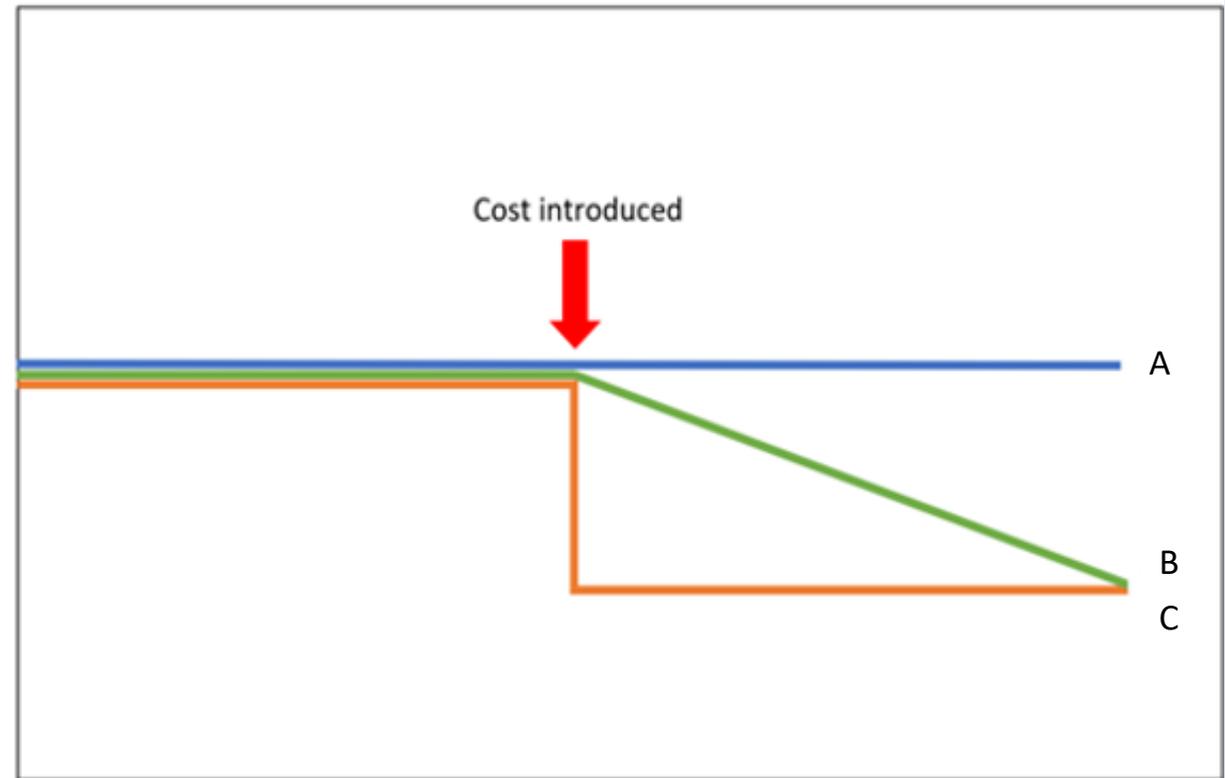
Immediate and significant ($p < 0.0005$) reduction in errors when cost was added

Consistent with prediction C



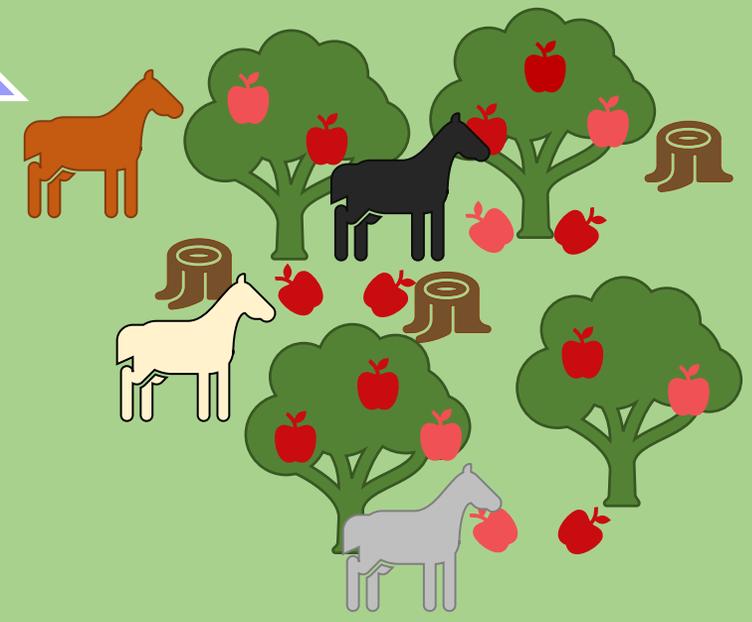
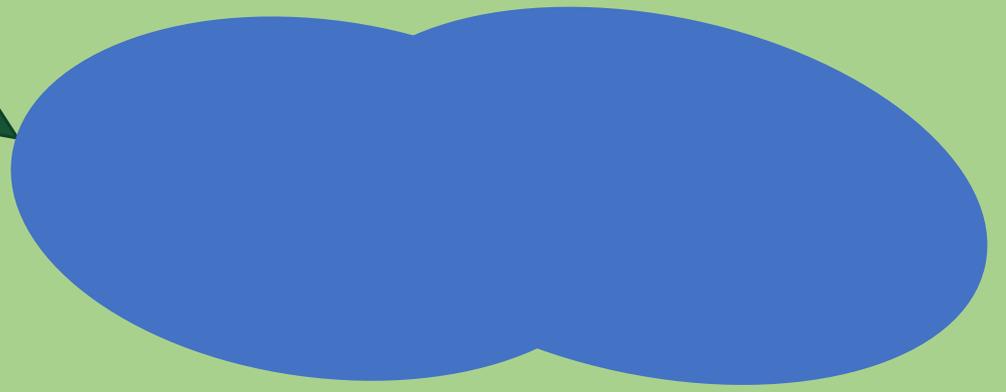
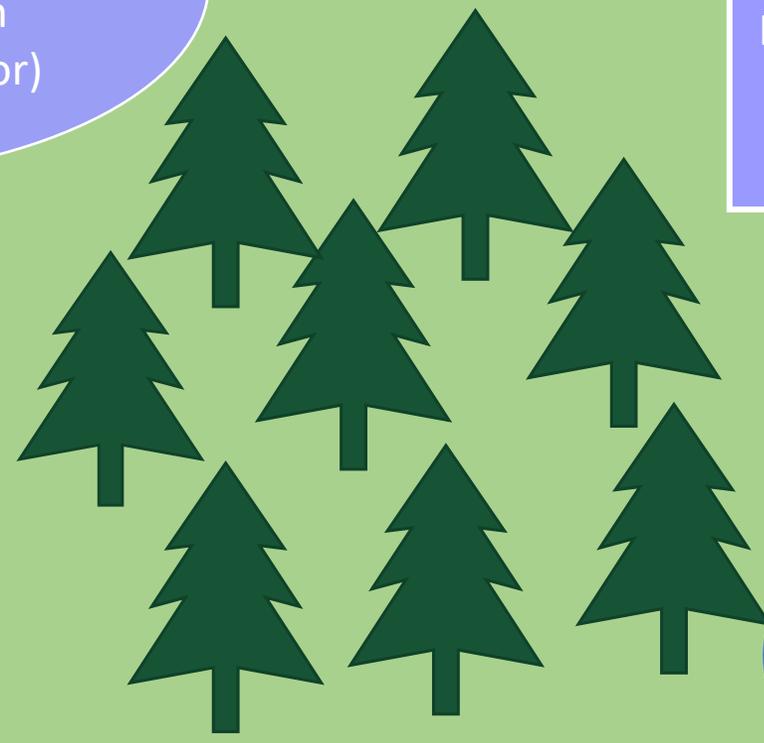
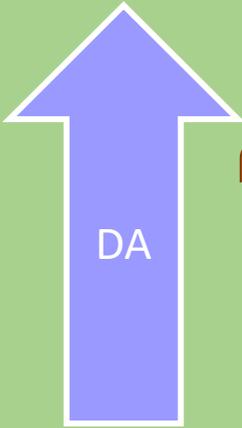
Prediction C (orange line)

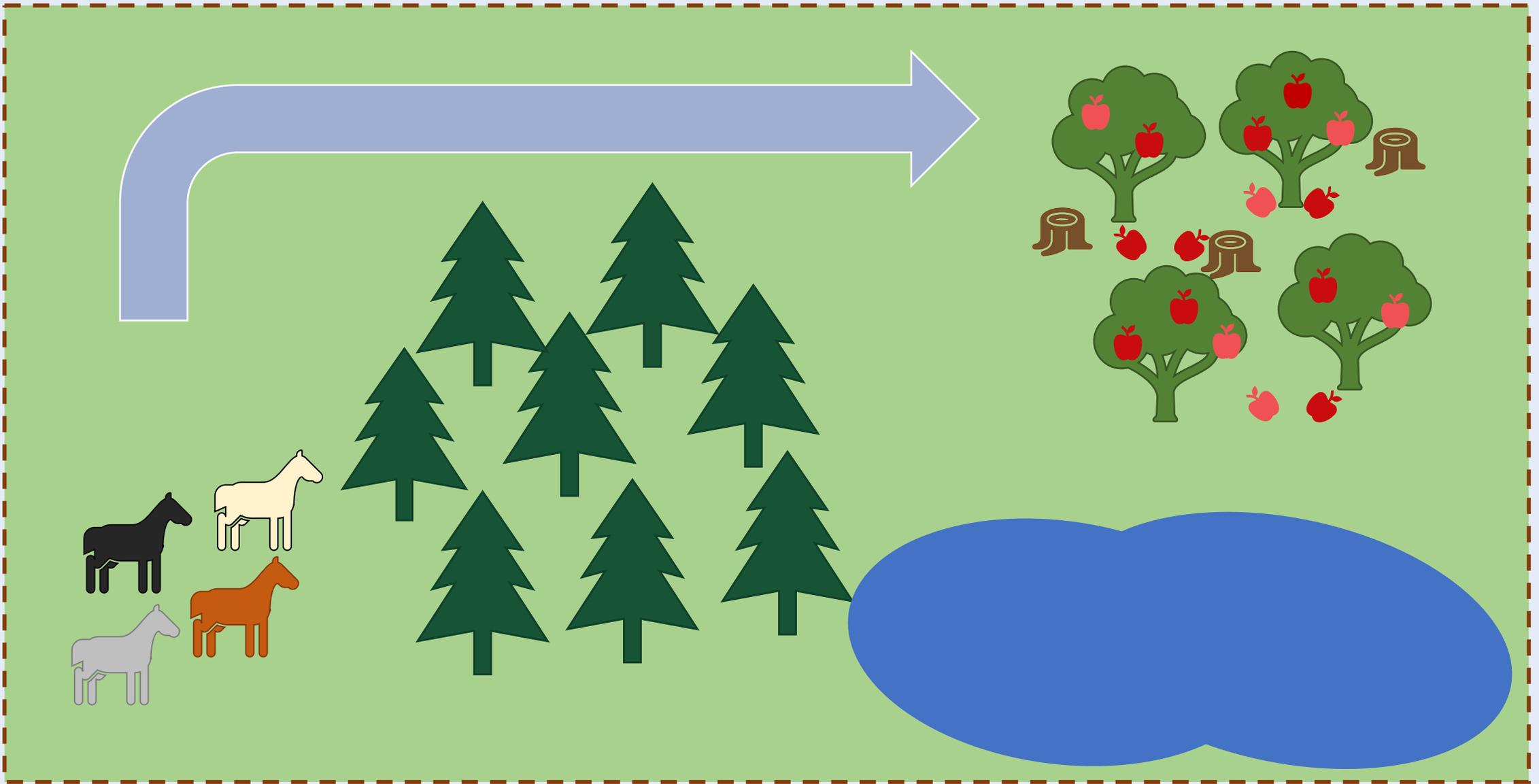
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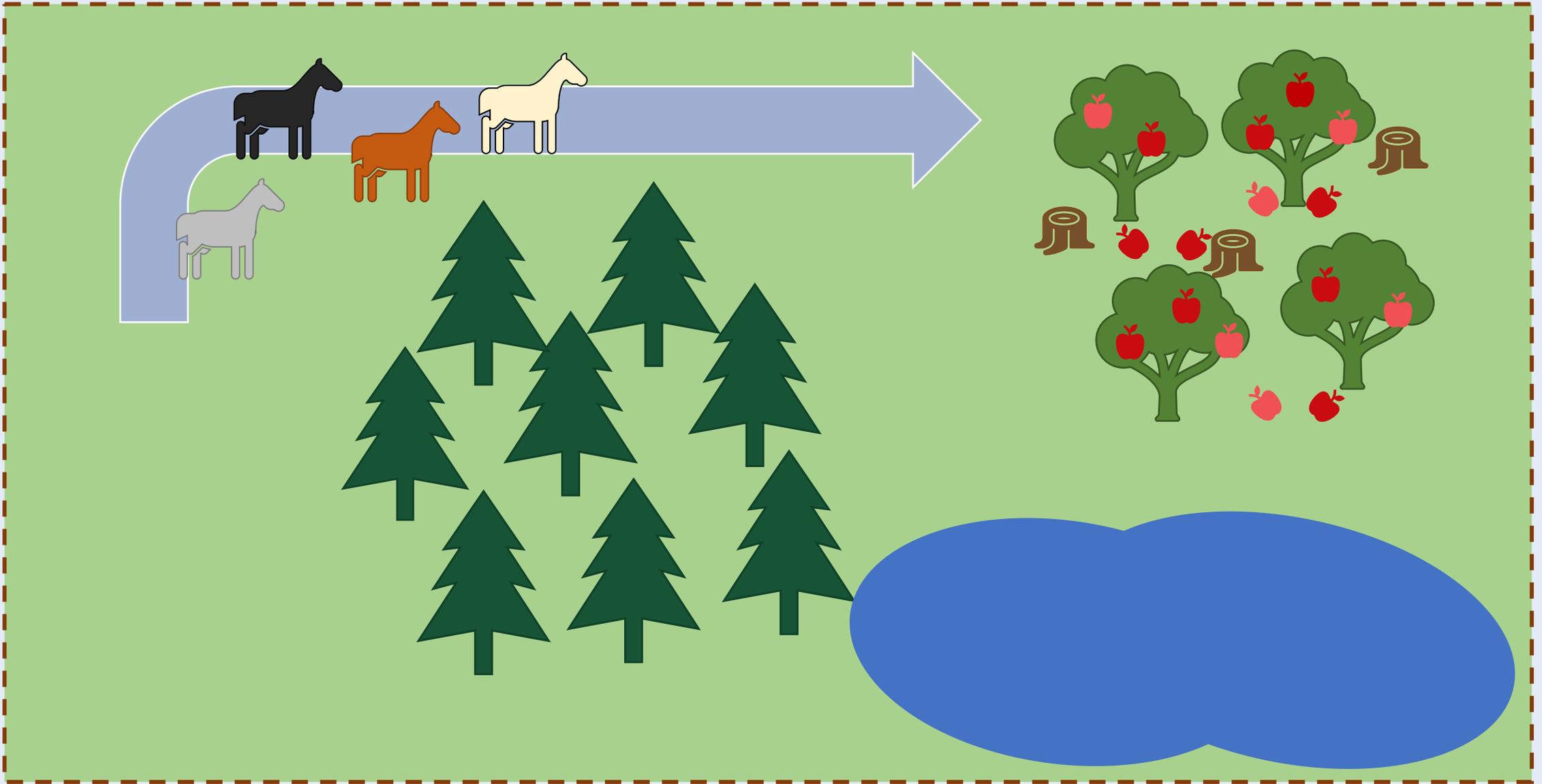


Reward Prediction Error

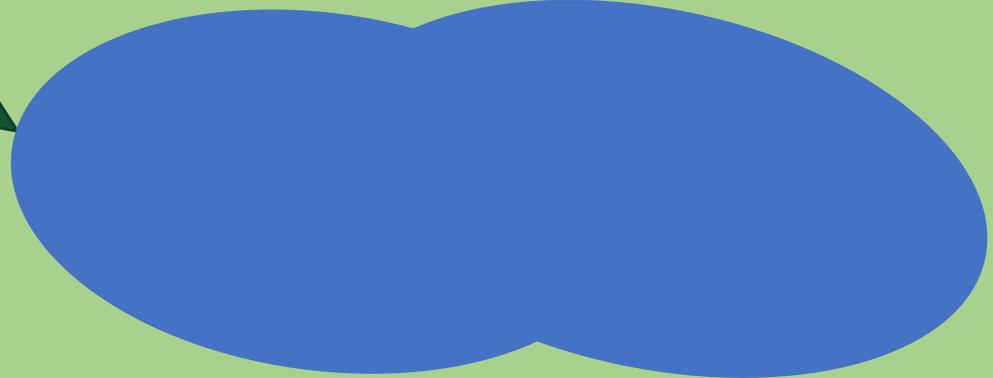
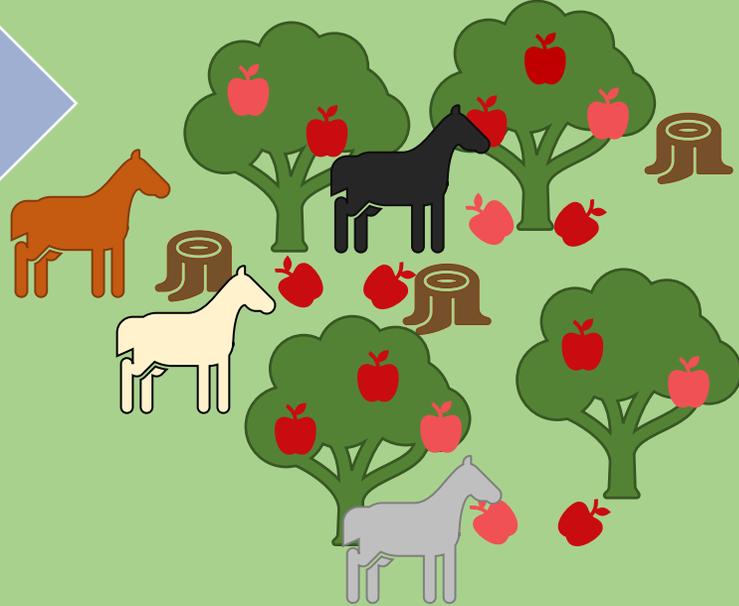
Dopamine peaks when
reward is better than
expected (positive error)



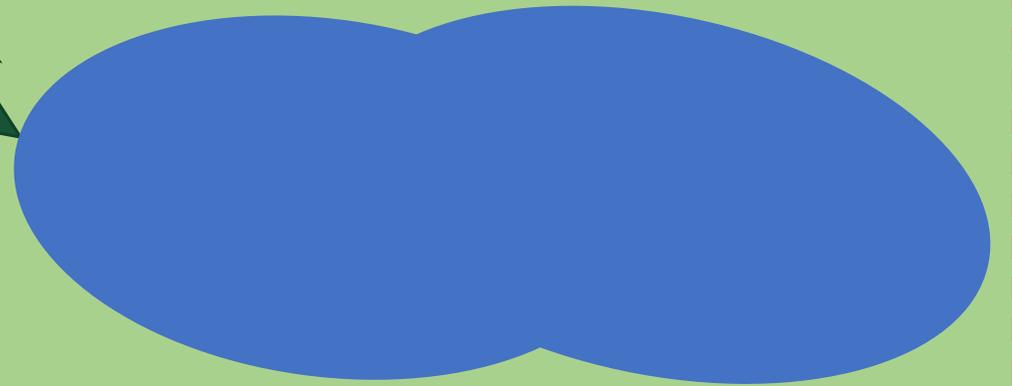
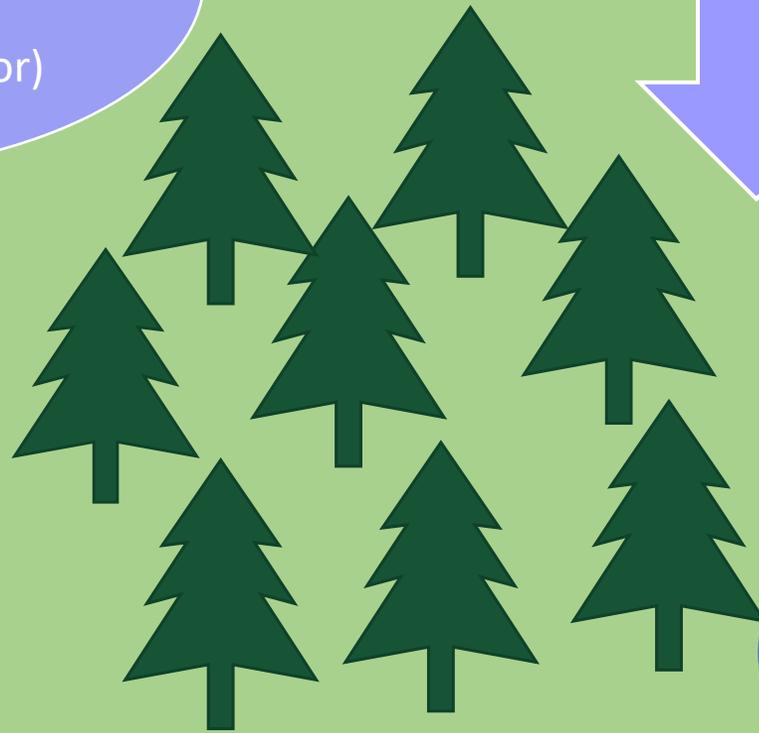
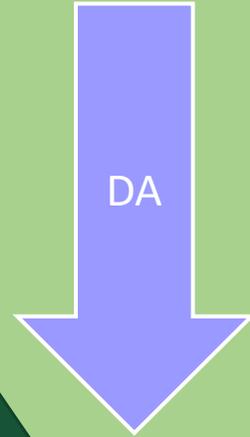


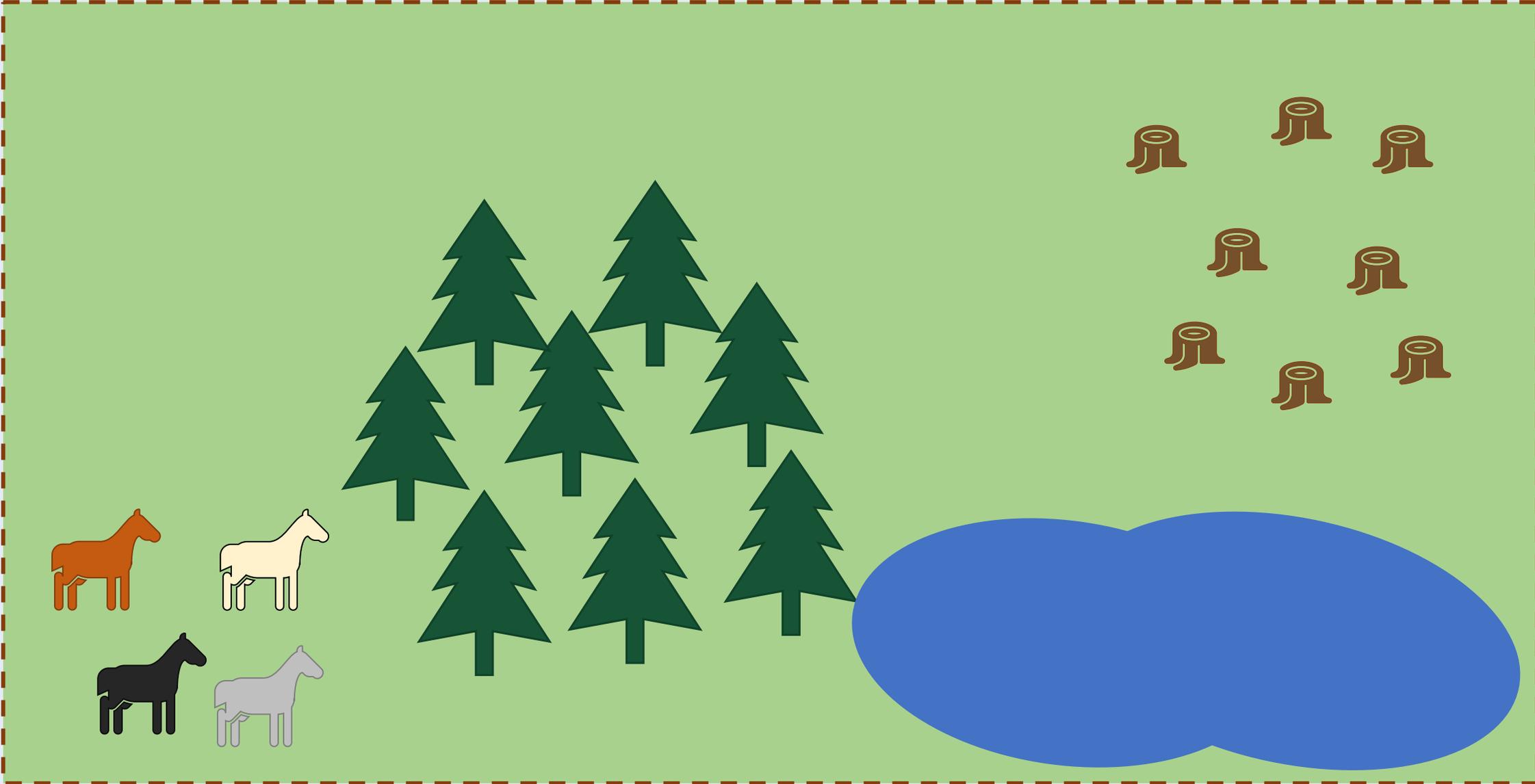


HABITUAL
BEHAVIOUR



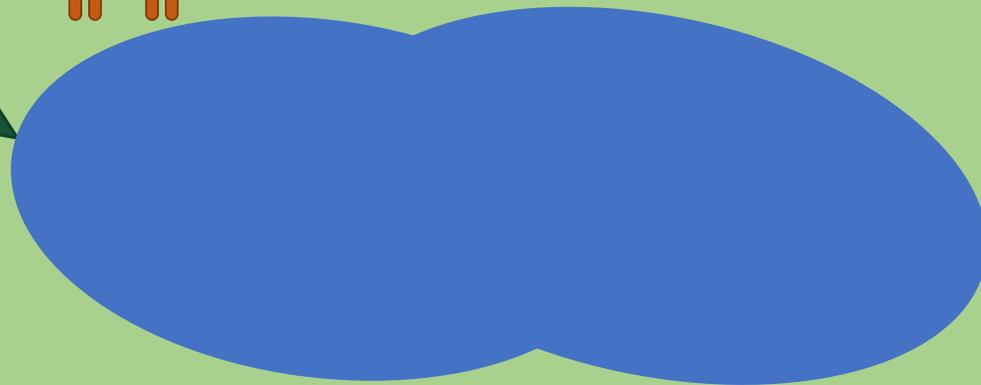
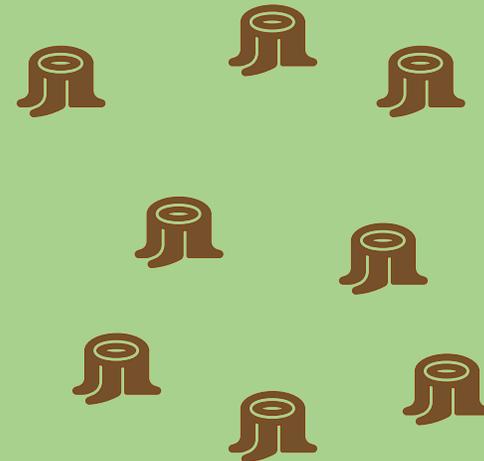
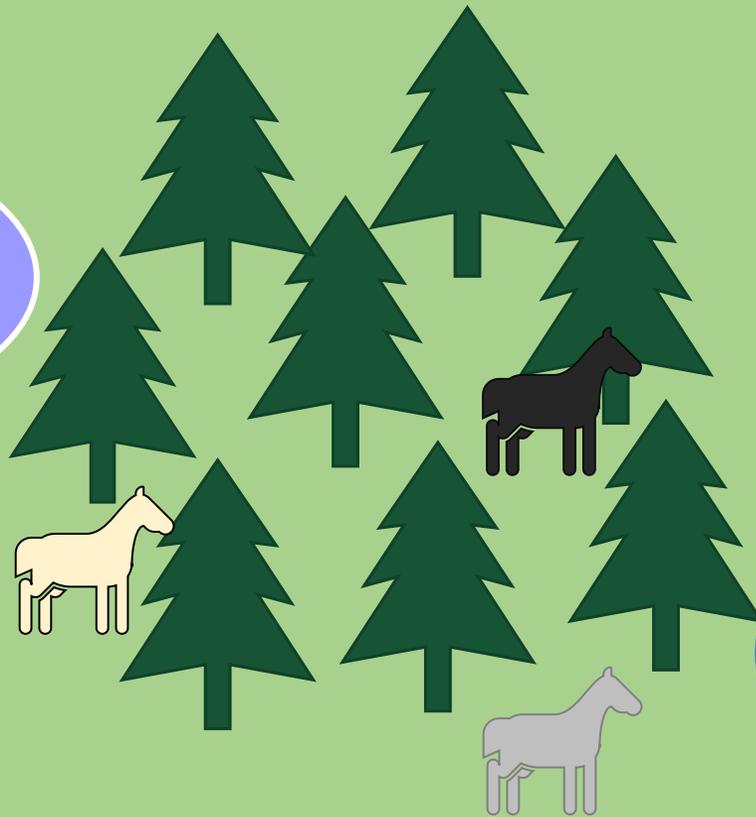
Reward Prediction Error
Dopamine trough when
reward is worse than
expected (negative error)





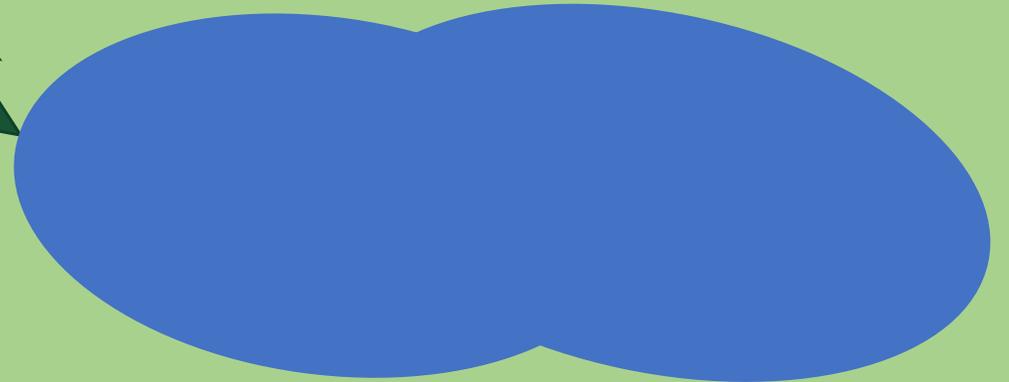
Flexible Approach

EXPLORATIVE
BEHAVIOUR

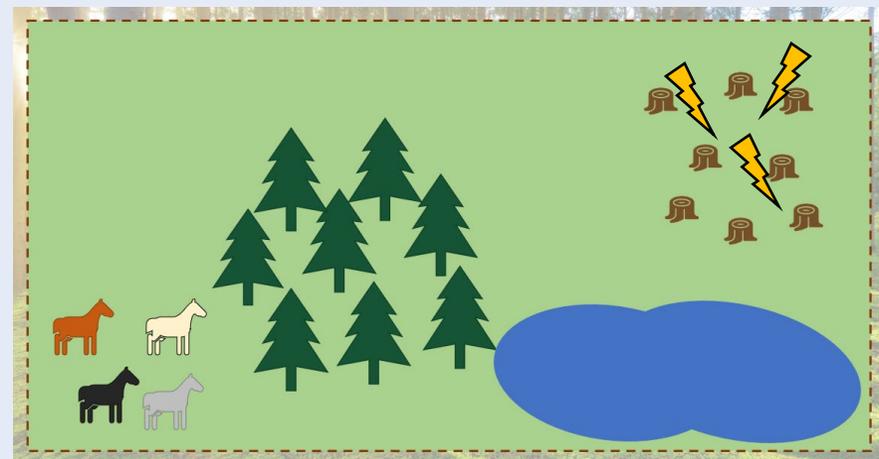
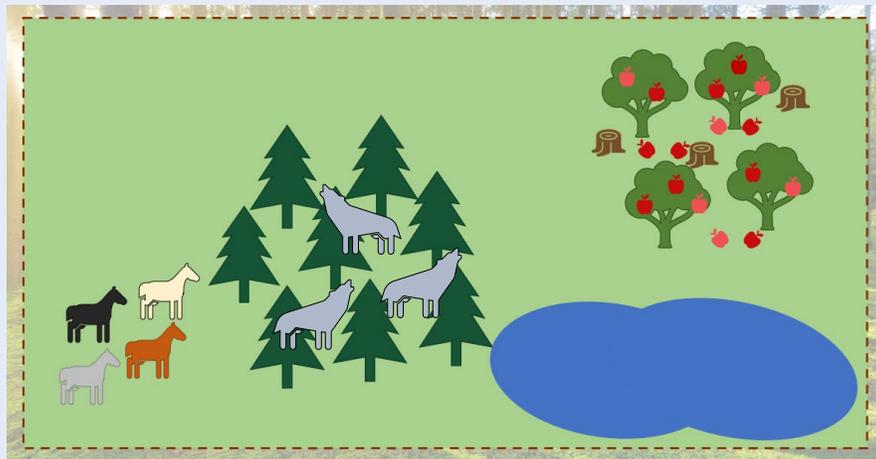
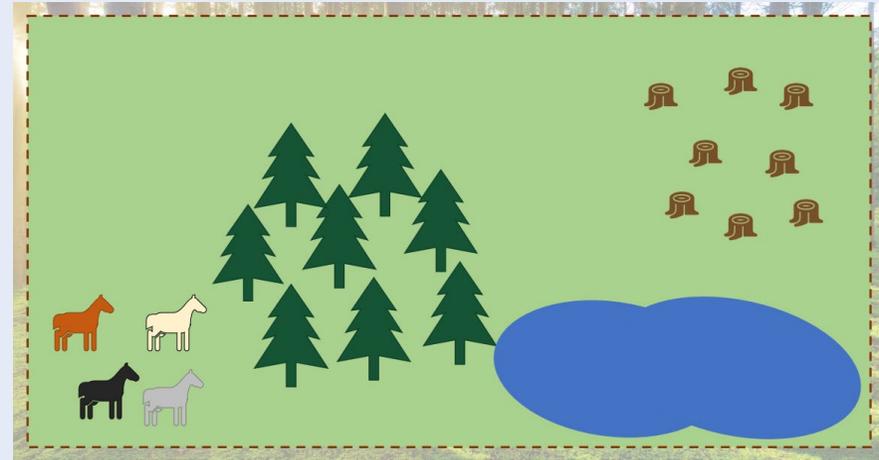
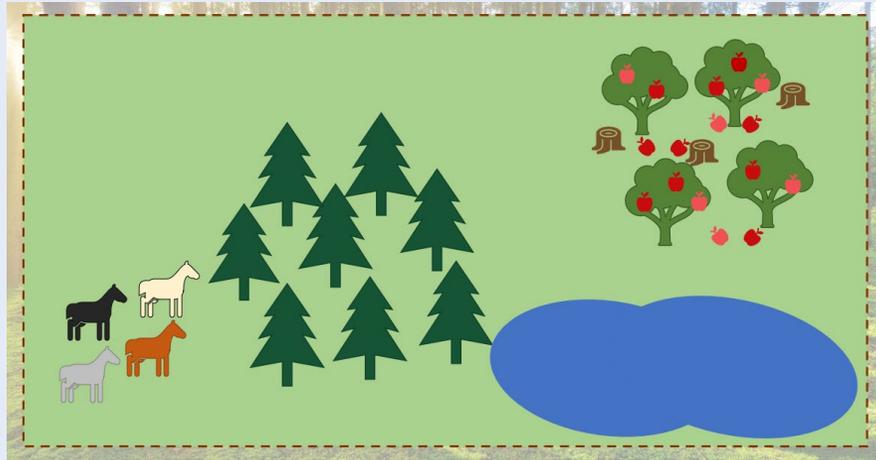


Inflexible Approach

HABITUAL
BEHAVIOUR



Prospection

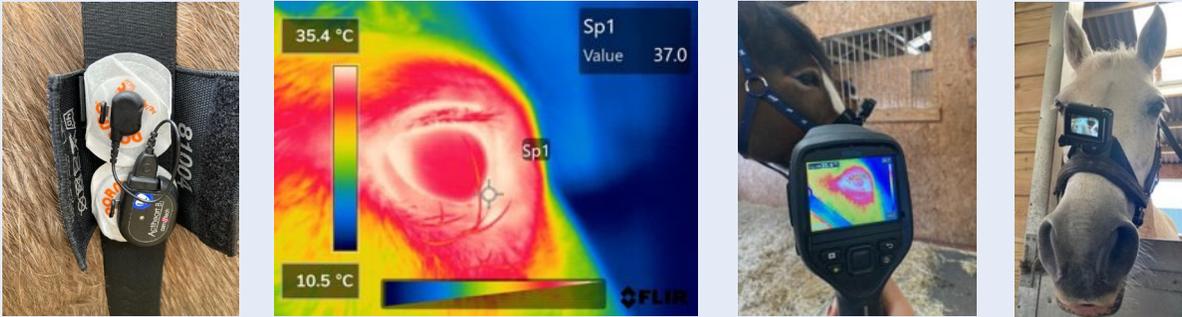


Why is this surprising?

- First evidence consistent with model-based learning in horses
- Humans use prefrontal cortex (PFC) for model-based learning, but the equine PFC is thought to be underdeveloped
- Suggests that horses may have higher level cognitive abilities than we previously thought



What about our original experiment?



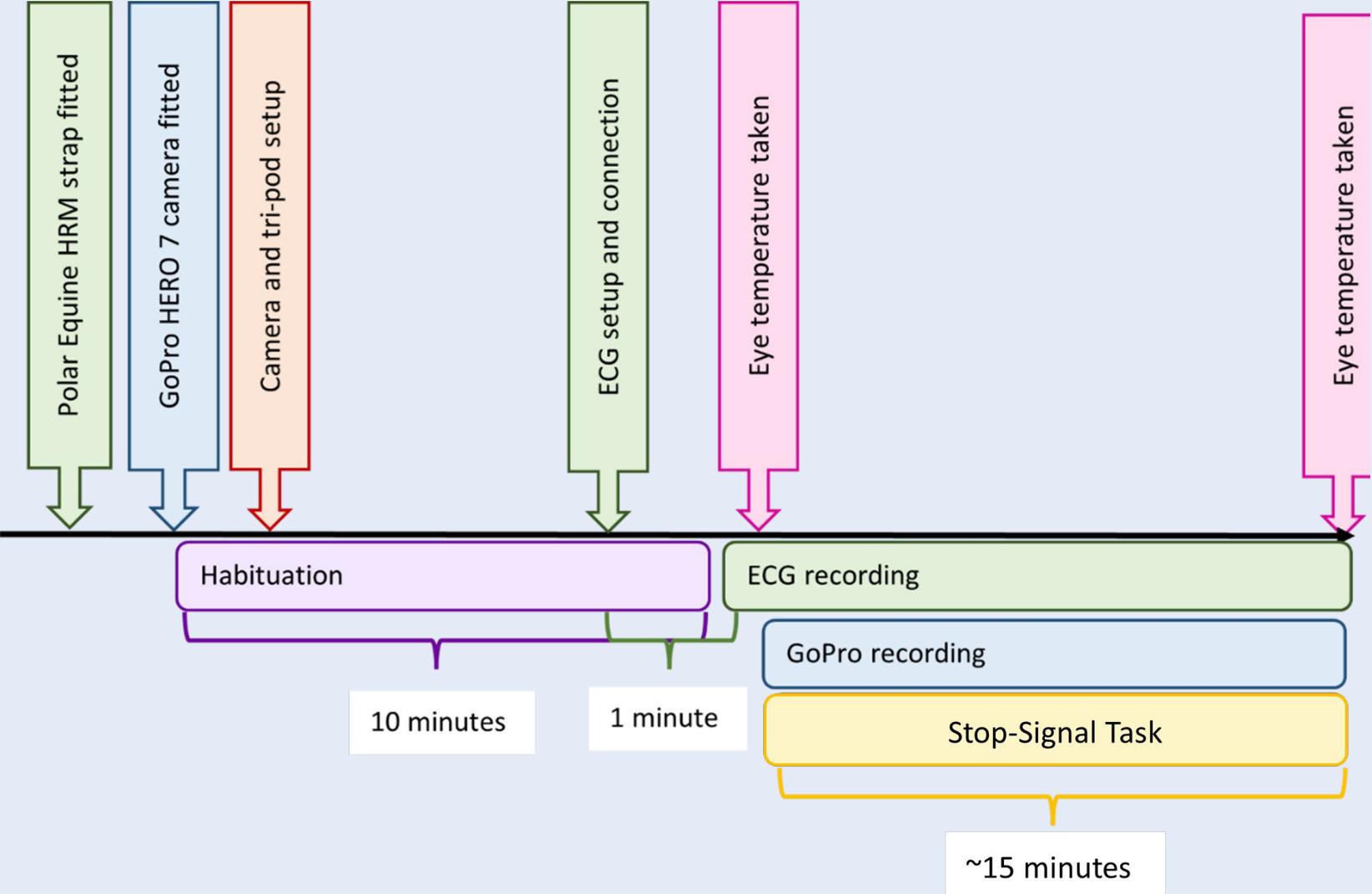
Physiological measures:

- Heart rate variability (arousal, 'stress')
- Infrared thermography of eye temperature (arousal, valence)
- Spontaneous eye blink rate (attention, striatal dopamine activity)
- Blink rate variability (pattern of blinking associated with learning?)

Is arousal/stress associated with inhibitory control ability in horses?

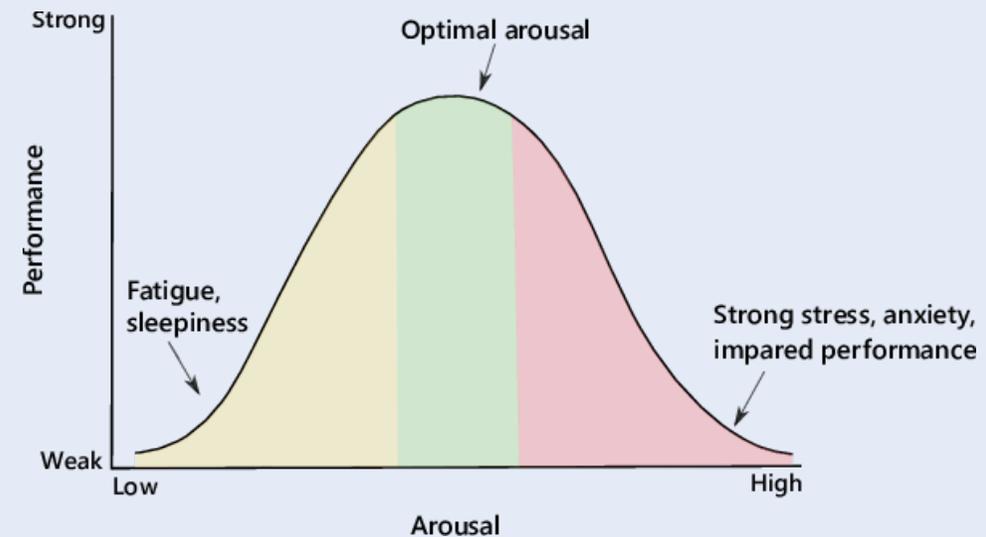
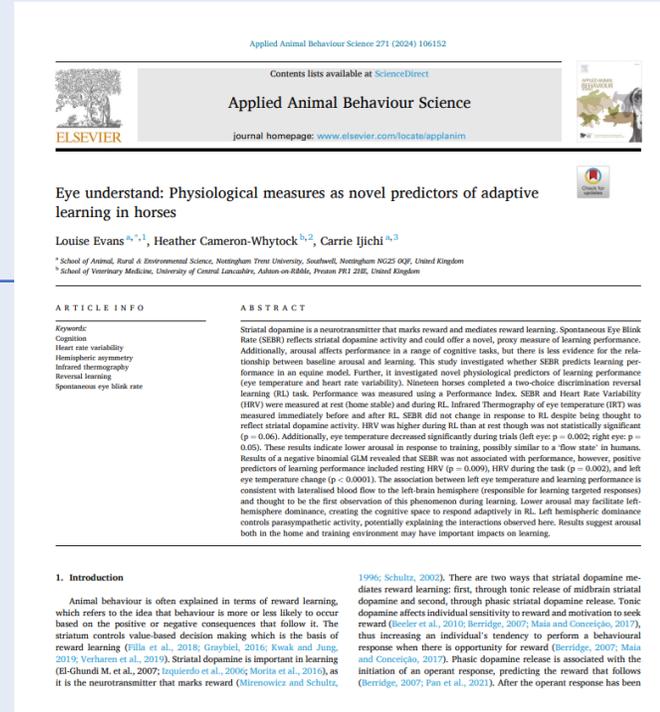
i.e. are there certain physiological predictors of performance?

Physiological measures



Heart rate variability

- Horses with higher baseline HRV (lower arousal) performed better ($p < 0.0001$)
- Horses with lower HRV (higher arousal) during the Stop-Signal task performed better ($p < 0.02$)
- In challenging tasks, some physiological arousal is needed, but day-to-day stress should be avoided



Spontaneous eye blink rate

- Higher baseline blink rate predicted better performance
- Unclear whether this relates to tonic striatal dopamine
- Associated with better learners generally?



What do these results mean?

- It's possible to use physiological measures to predict inhibitory control performance in horses
- Further evidence that maintaining low stress day-to-day is better for horse performance and welfare
- Interesting interaction between arousal and learning in horses



Cost vs No Cost for errors

- Did the addition of cost at session 4 affect physiological responses to the task?
- Frustration? Disappointment?

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Recognising the facial expression of frustration in the horse during feeding period

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ARTICLE INFO

Keywords:
Anticipation
Disappointment
Emotion
Equus caballus
Feeding
Frustration

ABSTRACT

Horses often present negative emotional states which are frequently poorly recognised, with much of our understanding of horse expressions based on anecdotes, rather than scientific evidence. The aim of this project was to identify potential facial markers of emotional states. 31 horses, aged between 2 and 23 years old (mean ± SD: 11.5 years, ± 6.6) and various genders (1 male, 10 geldings and 20 females) took part in the study. They were tested in three different scenarios involving the potential availability of food. Horses were trained to anticipate a reward after 10 s and then tested across the following three situations. Anticipation of a reward, considered a positive emotional state; frustration at waiting for a reward and disappointment at the loss of the reward - both considered negative emotional states. Tests were conducted in a stable with a feeding device fixed outside the stable within reach of the horse. Analysis of video recordings of facial expressions of the horses was undertaken using the Horse Facial Action Coding System (EquiFACS), an objective system for coding facial movements on the basis of the contraction of underlying muscles, as well as their behaviours. Specific facial markers associated with anticipation could not be characterised, however, we found that the occurrence of 9 actions and behaviours differed significantly between the two situations predicted to induce frustration and disappointment during the feeding period. The frustration phase was characterised by a higher likelihood of 'eye white increase' (AD1), 'ear rotator' (EAD104), and 'biting feeder' compared to the 'disappointment' situations. By contrast, 'blink' (AU145), 'nostril lift' (AUH13), 'tongue show' (AD19), 'chewing' (AD81) and 'licking feeder' were more likely in the 'disappointment' phase than in the 'frustration' situation. There was also a general gender effect with females more likely to blink than males. The findings of this research may help differentiate frustration and disappointment at least during the feeding period.

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Differences in behaviour, facial expressions and locomotion between positive anticipation and frustration in horses

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ARTICLE INFO

Keywords:
Emotions - positive anticipation - frustration - facial expressions - behaviour - locomotion

ABSTRACT

Animal welfare is a result of accumulated negative and positive emotions. Therefore, it is important to limit the former and promote the latter. Doing so requires a precise identification of these emotions. The aim of this study was to characterize the behaviours, facial expressions and locomotor parameters of 21 horses in two conditions with opposite valence, presumed to induce positive anticipation and frustration. In the positive anticipation situation, the horse was led to a bucket of food, knowing that they would be allowed to eat it. In the frustration situation, experimenters indicated to the horse that food was available without allowing them to eat it. In the positive anticipation situation, horses exhibited a lower neck position with the ears forward and upper lip advanced and went faster by increasing their stride frequency accompanied by increased global locomotor activity. In the frustration situation, horses exhibited a higher neck position with the ears backward or to the side, accompanied by ear movements and eye blinks, and interacted more with the experimenters. This study describes new possible indicators of positive anticipations and frustration in horses.

Cost vs No Cost for errors

- We didn't measure facial expression, but we do have data on **HRV, eye temperature and blink rate**
- **HRV decreases** during periods of frustration, due to increased psychological 'stress'
- **Blink rate increases** during frustration in humans (Ramachandran *et al.*, 2017)
- **Right eye temperature may increase** due to negative valence- frustration is a negative emotion

Measuring Neural, Physiological and Behavioral Effects of Frustration

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Abstract— We conducted a visual search experiment with varying task-loads to elicit frustration. Eight participants were asked to sort postal codes in a computer simulation with varying levels of task difficulty, from low to high. We collected electroencephalography (EEG), galvanic skin response (GSR), and gaze tracking data, and subjective data from a NASA Task-Load-Index based questionnaire to assess frustration during task performance. Such studies can help with work-flow process planning.

We found that low beta EEG had greater power in tasks with higher difficulty. Eye blink rate and blink duration were higher as task difficulty increased. Finally, subjective frustration scores increased with task difficulty. We hypothesize that frustration can be detected by monitoring power in the low beta band, and rate and blink of eye duration, although this is by no means conclusive. Future work will focus on creating tasks that can directly measure frustration while keeping task difficulty the same.

Keywords— Electroencephalography (EEG); Eye-tracking; Galvanic skin response (GSR); Multi-modal; NASA Task Load Index (TLX).

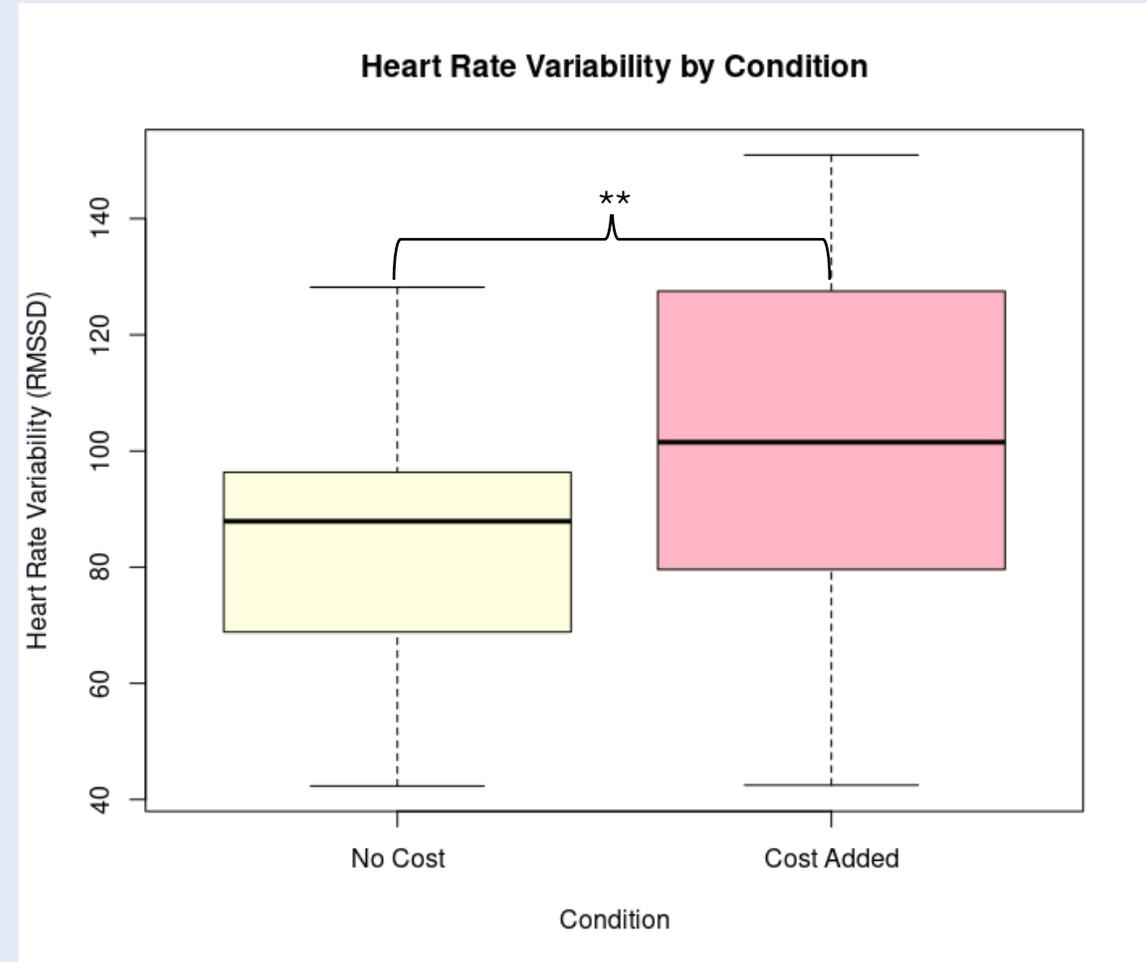
incidental and individual factors. Incidental factors include the severity of interruptions during task execution, and loss of time [3]; individual factors include emotions such as anxiety, attitude, state of mind and mood while performing tasks. If frustration is not controlled, it can cause further impediments to performance of work, more negative emotions and stress-related reactions.

II. MATERIALS AND METHODS

The participants' feedback on the workload demands of the experiment was collected electronically via a questionnaire at the beginning and at selected intervals based on NASA Task-Load-Index self-reporting instrument [4]. Total number of correct responses (CR) and response times (RT) were collected continuously throughout the experiment (behavioral response data). Pick-A-Mood (PAM) characters were also used as stimuli to collect gender information and the negative mood of the participant right before the experiment and then, after the experiment (moods used: Neutral, Bored, Sad, Irritated and Tense) [5].

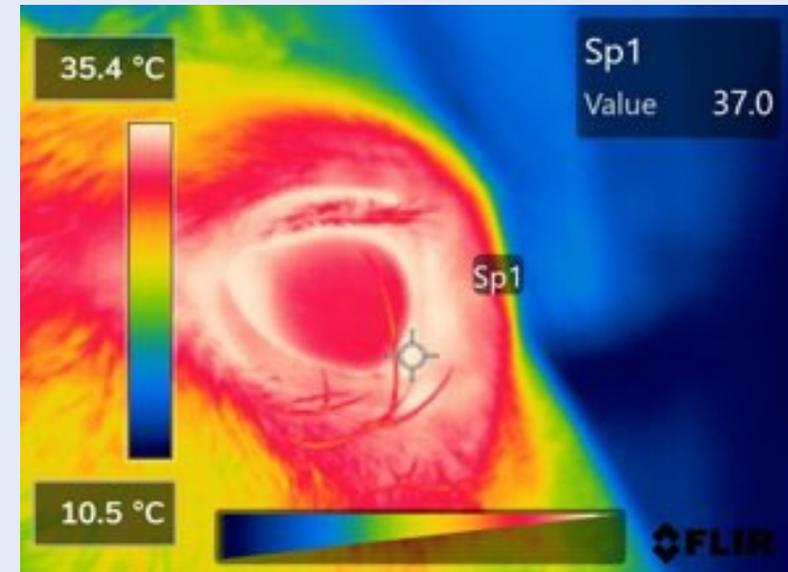
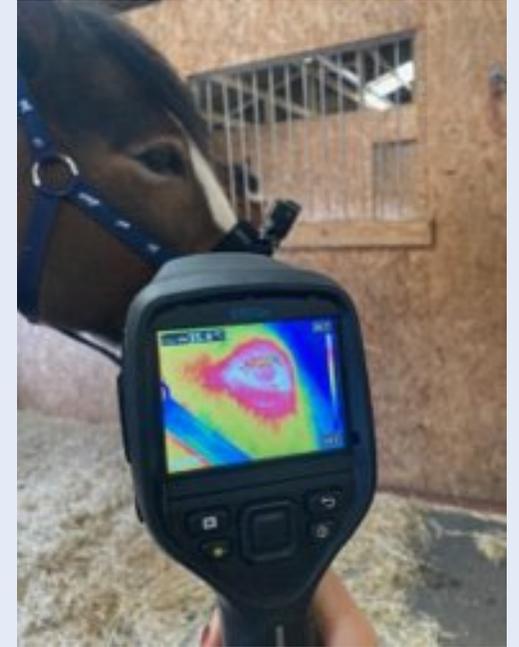
Heart rate variability

- HRV was significantly higher ($p < 0.005$) when cost was added (sessions 4-6) than when there was no cost (sessions 1-3)
- Higher HRV indicates lower arousal/stress
- Horses were more 'relaxed' when there was a punishment for making errors



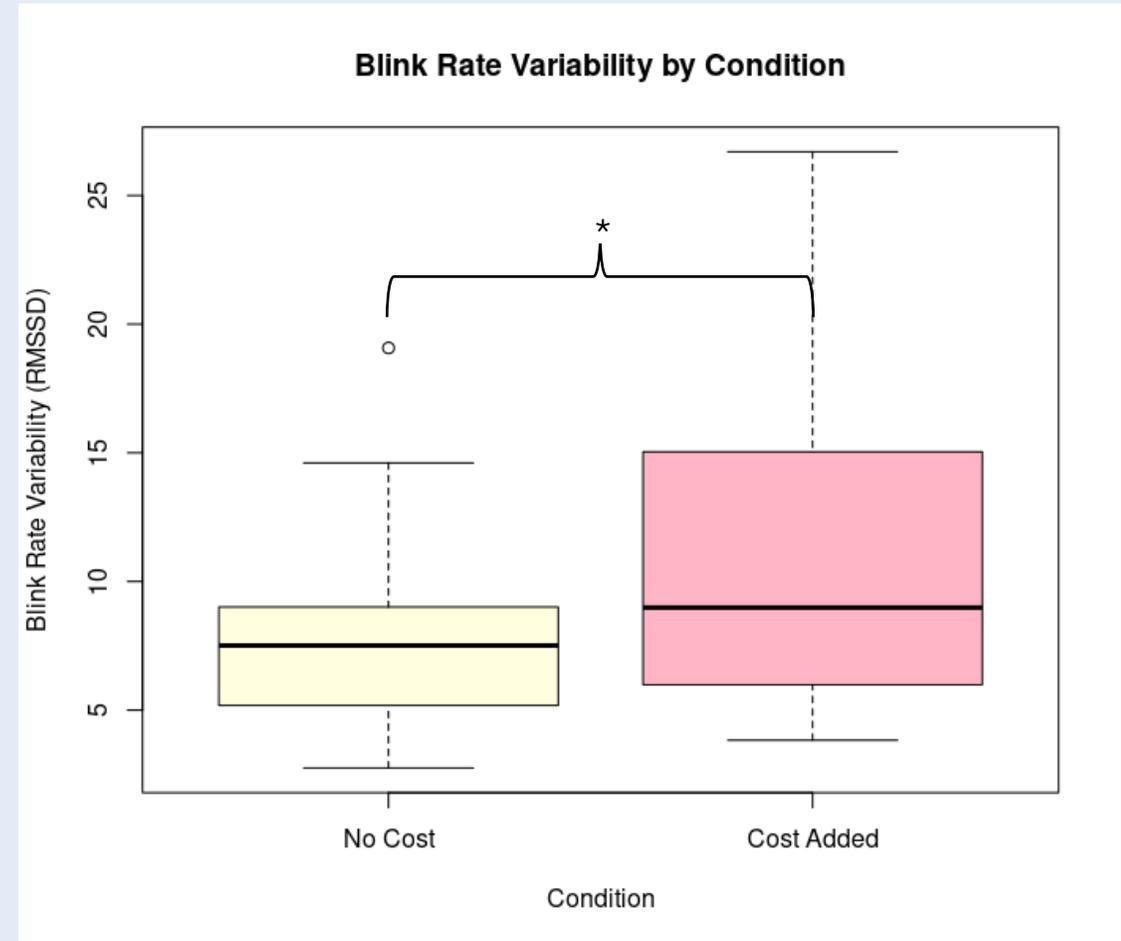
Eye temperature

- No significant difference in eye temperature changes between sessions with cost and sessions with no cost for errors ($p=0.26$)
- This was the same for left and right eye
- No apparent effect of punisher on stress or valence (positive or negative affect)



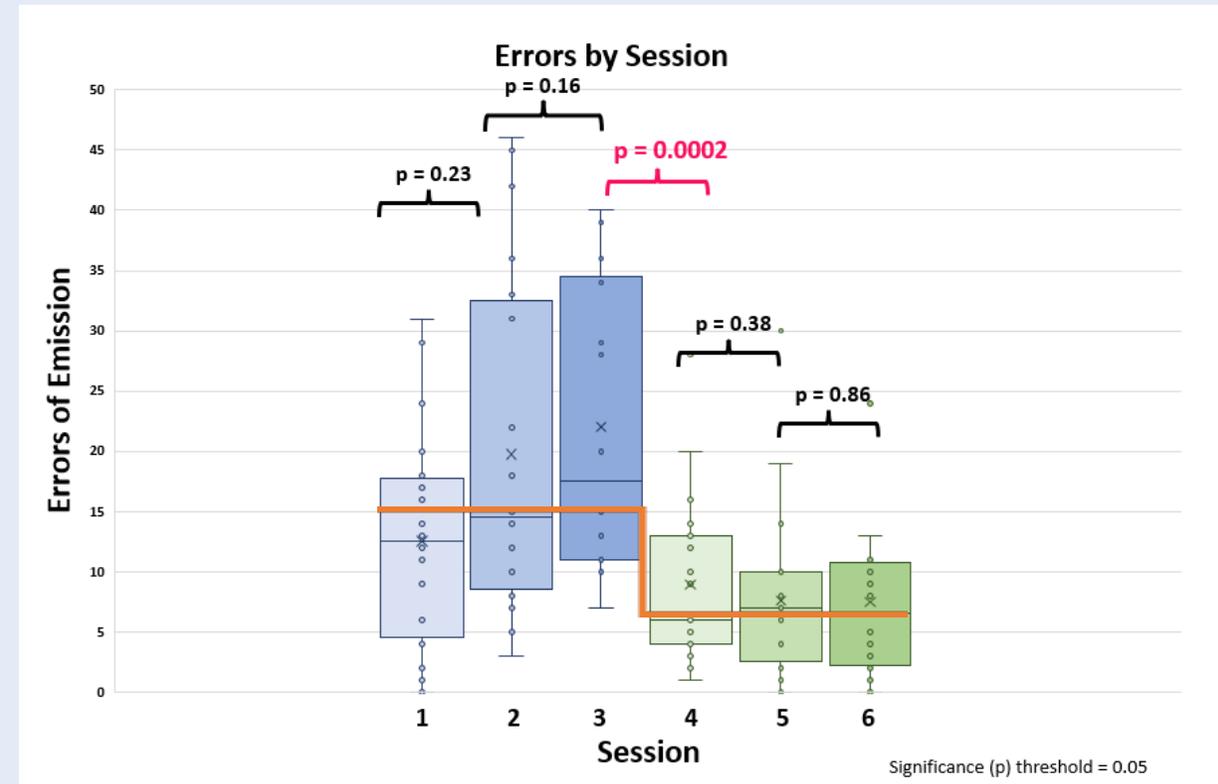
Blink rate

- Blink rate was not significantly different in sessions where cost was added, compared to sessions with no cost ($p=0.22$)
- However, blink rate **variability** was significantly higher during sessions where cost was added ($p<0.05$)



What do these results mean?

- No evidence of frustration due to the addition of negative punishment (time-out) as a cost for making errors of emission
- Switch to discriminate responding strategy not linked to frustration
- Further support for model-based learning?



Conclusions

- We (eventually) were able to design a Sto-Signal task for horses to test inhibitory control
- Combination of positive reinforcement and negative punishment can be used effectively and without negatively impacting welfare
- No evidence of frustration
- Results are consistent with model-based learning in horses



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The equine
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Thank you for listening